

1-01
JCG3 Rec'd PCT/PTO 22 AUG 2001
09/914114

TRANSMITTAL LETTER FOR A PCT INTERNATIONAL APPLICATION
ENTERING THE NATIONAL STAGE IN THE U.S. AS A DESIGNATED or
ELECTED OFFICE UNDER 35 USC 371



Attorney's Docket No.: SCHO0063

Date: August 22, 2001

Exhibit mailing label number (from mail label): **EL816158547US**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, as required under 37 CFR 1.10, on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Typed Name: Jessica Pallach

Signature: Jessica Pallach

Commissioner of Patents
and Trademarks

Box PCT Application

Attention: DO/EO

Washington, D.C. 20231

Transmitted herewith are the papers required to enter the national state in the U.S. as a designated office/elected office for the following PCT international patent application:

INTERNATIONAL APPLICATION NUMBER: PCT/EP00/00312

Int'l Filing Date: 17 January 2000

1st Priority Date: 23 February 1999

Inventor(s): Ralph SPERSCHNEIDER, Martin DIETZ, Pierre LAUBER, and Michael SCHUG

For: METHOD AND APPARATUS FOR PRODUCING A DATA STREAM OF CODE WORDS OF VARIABLE LENGTHS AND METHOD AND APPARATUS FOR READING A DATA STREAM OF CODE WORDS OF VARIABLE LENGTHS

The United States Patent Office is: (select one)

- ☐ A Designated Office (No Demand was filed - See 37 CFR 1.494)
☒ An Elected Office (A Demand for Preliminary Examination was Filed - See 37 CFR 1.495)

Enclosed are:

- ☒ A copy of the international application (if this line is not checked, the international application was previously communicated by the International Bureau or the international application was originally filed in the USPTO).
☒ An English Translation of the International Application
☒ An UNEXECUTED Combined Declaration and Power of Attorney
☒ A translation of amendments under Article 34 PCT
☐ A copy of the Notification of the Recording of a Change
☐ A translation of annexes to the international preliminary examination report
☐ An Assignment of the Invention to xxxxxxxxxx (with \$40.00 recordal fee)
☒ Information Disclosure Statement, 1449 Form and cited references
☒ A Preliminary Amendment—annotated copy of PCT/EP00/00312
☒ Clean copy of application; after annotations filed as Preliminary Amendment
☒ A copy of the International Search Report and cited references
☒ A copy of the References cited in the German Examination Report
☒ A copy of the Preliminary Examination Report
☐ Revised Drawing Sheet as Amended under Article 19 PCT
☐ Notification of the Recording of a Change
☒ 6 Sheets of Formal Drawings

FEE CALCULATION

<u>X</u>	BASIC FEE			\$ 860.00
	(IPEA-U.S. \$670/335; ISA-U.S. \$760/380; PTO not ISA or IPEA \$970/485;			
	U.S. IPEA all claims meet 33(1)-(4) \$96/48; File w/ EPO or JPO search report 840/420;)			
—	Surcharge for filing a late oath or declaration (\$130/65)			\$ ***
—	Surcharge for filing a late translation (\$130)			\$ ***
—	Multiple dependent claims (\$260/130)	x \$ 260 claim	=	\$ ***
—	Excess claims - see calculation below			
	Total Claims:	- 21 - 20 = 1	X \$18/09claim	= \$ 18.00
	Independent Claims:	- 4 - 3 = 1	X \$80/40/ind. claim	= \$ 80.00
		Excess Claim Total		\$
—	Assignment recordal fee (\$40)			\$
		TOTAL FEES		\$ 958.00

X The Commissioner is hereby authorized to charge the filing fee of \$958.00 and any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. SCHO0063). A duplicate copy of this transmittal is enclosed.

Please direct all correspondence concerning this case to the undersigned at **GLENN PATENT GROUP, 3475 Edison Way, Ste. L. Menlo Park, CA 94025.

Respectfully submitted.



Michael A. Glenn
Registration No. 30,176

Customer No. 22862

National Phase of PCT/EP00/00312 in U.S.A.

Title: Method and Apparatus for Producing a Data stream of
Code Words of Variable Lengths and Method and
Apparatus for Reading a Data stream of Code Words of
Variable Lengths

Applicants: SPERSCHNEIDER; DIETZ; LAUBER; SCHUG

Annotated copy of Final version of PCT/EP00/00312

- Preliminary Amendment.

Method and Apparatus for Producing a Data stream of Code
Words of Variable Lengths and Method and Apparatus for
Reading a Data stream of Code Words of Variable Lengths

5

Description

Field of the Invention

10 The present invention relates to encoding with code words
of variable lengths and, in particular, to producing and
reading data streams with code words of variable lengths,
which are robust with regard to errors in transmission.

15

Background of the Invention and Prior Art

Modern audio encoding or decoding methods which work by the
MPEG layer 3 standard, for example, are capable of
compressing the data rate of audio signals, e.g. by a
20 factor 12, without noticeably degrading the quality
thereof. In order to achieve such a high data rate
reduction, an audio signal is sampled, whereby a sequence
of discrete-time samples is obtained. As is known in the
art, the sequence of discrete-time samples is windowed in
25 order to obtain windowed blocks of time samples. A block of
time-windowed samples is then transformed to the frequency
range by means of a filter bank, a modified discrete cosine
transform (MDCT) or other suitable device, in order to
obtain spectral values which, as a whole, represent the
30 audio signal, i.e. the time section determined by the block
of discrete-time samples, in the frequency range. Usually,
time blocks which overlap at 50% are produced and
transformed to the frequency range by means of a MDCT
whereby, due to the specific properties of the MDCT, 1024
35 discrete-time samples, for example, always lead to 1024
spectral values.

It is known that the receptivity of the human ear depends on the momentary spectrum of the audio signal itself. This dependency is covered in the so-called psycho-acoustic model by means of which it has been possible for quite some time to calculate masking thresholds depending on the momentary spectrum. Masking means that a specific tone or a spectral component is hidden in case an adjacent spectral range, for example, has relatively high energy. This fact of masking is utilized in order to quantize as closely as possible the spectral values present after the transformation. The aim is therefore to avoid audible interferences in the re-decoded audio signal on the one hand and to use as few bits as possible on the other hand in order to encode or, in this case, to quantize the audio signal. The interferences introduced by quantization, i.e. quantization noise, are intended to be below the masking threshold and, therefore, to be inaudible. In accordance with known methods, a classification of the spectral values in so-called scale factor bands is carried out, which should correspond to the critical bands, i.e. frequency groups, of the human ear. Spectral values in a scale factor group are multiplied by a scale factor in order to carry out overall scaling of spectral values of a scale factor band. The scale factor bands scaled by the scale factor are then quantized, whereupon quantized spectral values are produced. It is understood that grouping in scale factor bands is not critical. However, it is used in the MPEG layer 3 standards or in the MPEG 2 AAC standard (AAC = advanced audio coding).

A very essential aspect of data reduction lies in entropy encoding of the quantized spectral values, which follows quantizing. Huffman encoding is usually used for entropy encoding. A Huffman coding is understood to mean a coding with a variable length, i.e. the length of the code word for a value to be encoded is dependent on the probability of occurrence thereof. Logically, the most probable character is assigned the shortest code, i.e. the shortest

code word, so that very good redundancy reduction can be achieved by means of Huffman encoding. An example for a generally-known coding with a general length is the Morse code.

5 In the field of audio encoding, Huffman codes are used for encoding the quantized spectral values. A modern audio encoder, which works, for example, in accordance with the MPEG 2 AAC standard, uses different Huffman code tables for
10 encoding the quantized spectral values, which Huffman code tables are assigned to the spectrum by certain criteria on a section-by-section basis. In this process, 2 or 4 spectral values are always encoded together in one code word.

15 One difference between the method in accordance with MPEG 2 AAC and the method in accordance with MPEG layer 3 is that different scale factor bands, i.e. different spectral values, are grouped into any number of spectral sections.
20 With AAC, one spectral section includes at least four spectral values, but preferably more than four spectral values. The entire frequency range of the spectral values is therefore divided up into adjacent sections, with one section representing one frequency band such that all
25 sections together cover the entire frequency range, which is superimposed by the spectral values after the transformation thereof.

30 As in the MPEG layer 3 method, one section is assigned to a so-called "Huffman table" from a plurality of such tables in order to achieve a maximum redundancy reduction. In the bit stream of the AAC method, which usually comprises 1024 spectral values, are now the Huffman code words for the spectral values in an ascending order of frequencies.
35 information on the table used in each frequency section is transferred in the side information. This situation is shown in Fig. 6.

Fig. 6 shows the exemplary case where the bit stream includes 10 Huffman code words. In case one code word is always formed from one spectral value, 10 spectral values may be encoded here. However, usually 2 or 4 spectral values are always jointly encoded by one code word, which is why Fig. 6 shows a part of the encoded bit stream which includes 20 or 40 spectral values. In the case where each Huffman code word includes 2 spectral values, the code word designated by No. 1 represents the first two spectral values, with the length of code word No. 1 being relatively short, which means that the values of the first two spectral values, i.e. of the two smallest frequency coefficients, occur relatively frequently. The code word bearing the No. 2, however, has a relatively long length, which means that the amounts of the 3rd and 4th spectral coefficients in the encoded audio signal are relatively rare, which is why they are encoded with a relatively large amount of bits. Further, it is apparent from Fig. 6 that the code words with the numbers 3, 4 and 5, which represent the spectral coefficients 5 and 6 or 7 and 8 or 9 and 10, also occur relatively frequently, since the length of the individual code words is relatively small. The same applies to the code words bearing the numbers 6 to 10.

As has already been mentioned, it is clearly apparent from Fig. 6 that the Huffman code words for the encoded spectral values are arranged in the bit stream in a linearly ascending manner with regard to the frequency in case a bit stream which is produced by a known encoding apparatus is considered.

One major drawback with regard to Huffman codes, in the case of faulty channels, is error propagation. It may be assumed, for example, that code word No. 2 in Fig. 6 is interfered with. There is a certain, not low, probability that the length of this wrong code word No. 2 is also modified. It therefore is different from the correct length. In case, in the example of Fig. 6, code word No. 2

has been modified in its length due to an interference, it is no longer possible for an encoder to determine the starts of the code words 3 to 10, i.e. of almost the entire audio signal represented. This means that all other code words following the code word which has been interfered with can no longer be correctly encoded, since it is not known where these code words start, and since an incorrect starting point was selected due to the error.

- 10 As a solution to the problem of error propagation, European Patent No. 0 612 156 proposes that a part of the code words of variable lengths be arranged in a raster and that the remaining code words be distributed in the remaining gaps, so that the start of a code word which is arranged at a raster point can be more easily found without full decoding or in the case of an incorrect transmission.

- It is true that the known method provides some remedy for error propagation by means of rearranging the code words. For some code words, a fixed location in the bit stream is agreed upon, whereas the remaining gaps are available for the remaining code words. This does not cost any additional bits, but prevents, in the case of an error, error propagation among the rearranged code words.

- 25 However, one decisive parameter for the efficiency of the known method lies in the manner in which the raster is determined in practical application, i.e. how many raster points must be used, which raster spacing the raster points must have, etc. Except for the general recommendation to use a raster for curbing error propagation, European Patent No. 0 612 156 does not give any more detailed information about how the raster should be designed efficiently in order to enable error-robust encoding on the one hand and efficient encoding on the other hand.

German Patent Application 19 747 119.6-31, which was published after the filing date of the present application,

proposes that not just any code words be located at raster points, but that code words which are significant from a psycho-acoustic point of view, i.e. code words for spectral values which make a significant contribution to the audio signal, be located at raster points. A data stream with code words of variable lengths, such as is produced by such an encoder, is shown in Fig. 5. As in Fig. 6, the data stream also includes 10 code words, with the priority code words being shaded. The first priority code word is located such as to start at a first raster point 100, the second priority code word is located such as to start at a second raster point 101, the third priority code word is located such as to start at a third raster point 102, the fourth priority code word is located such as to start at a fourth raster point 103 and the fifth priority code word is located such as to start at a fifth raster point 104. A first segment 105 is defined by the raster points 100 and 101. Similarly, a second 106, a third 107, a fourth 108 and a final segment 109 are defined. It is shown in Fig. 5 that the first two segments 105 and 106 have a different length from the two segments 107 and 108 and yet a different length from the final segment 109. Non-priority code words 6, 7, 8, 9 and 10 are then entered in the data stream following the priority code words such that the latter is filled up, so to speak. As is shown in Fig. 5, in the post-published method, the non-priority code words are consecutively inserted in the raster after the priority code words have been written. Specifically, the non-priority code word No. 6 is entered following the non-priority code word 1. The space still remaining in the segment 105 is filled up with the following non-priority code word 7, with the remainder of the non-priority code word 7, i.e. 7b, being written in the next free space, i.e. in the segment 107, directly following the priority code word 3. The same procedure is followed for the non-priority code words 8 to 10.

The advantage of the post-published method illustrated in Fig. 5 is that the priority code words 1 to 5 are protected against error propagation, since their starting points coincide with raster points and are therefore known.

5 In case, for example, the priority code word 2 has been damaged in transmission, it is very likely in the prior art shown in Fig. 6 that a decoder will not be able to decode any of the remaining code words 3 to 10 correctly. In the
10 method shown in Fig. 5, however, the next code word, i.e. priority code word 3, starts at the raster point 102 such that the decoder will, at any rate, find the correct start of code word 3. Therefore, in the method shown in Fig. 5, no sequence error whatsoever will occur, and only priority
15 code word No. 2 will be damaged. Consequently, this method provides effective protection for priority code words which are located at raster points.

20 However, there is no effective protection for non-priority code words. Referring to Fig. 5, damaging the non-priority code word No. 6 such that the decoder assumes, as an incorrect code word No. 6, a code word which is one bit shorter, will result in the fact that it is also no longer possible to correctly decode code word No. 7, since the
25 last bit of the correct code word No. 6 is interpreted as being the start of the next code word No. 7. Therefore, an error in code word No. 6 will lead to the fact that, at a very high probability, it will no longer be possible, due to a sequence error, to correctly decode any code words
30 following it even in case they have not been affected by a transmission error.

US Patent No. 5,579,430 discloses a method for digital encoding in which the code words to be written into a bit stream are arranged in the bit stream such that a part of the code words is initially arranged in a raster. Thus, no error propagation occurs in this section of the code words.

The remaining code words are distributed in the remaining gaps.

5

Summary of the Invention

10 It is the object of the present invention to find a concept for writing and reading a data stream of code words of variable lengths which provides particular protection against sequence errors due to a non-ideal transmission of the data stream.

15 ~~This object is achieved by a method for producing a data stream in accordance with claim 1, by a method for reading a data stream in accordance with claim 15, by an apparatus for producing a data stream in accordance with claim 20 and an apparatus for reading a data stream in accordance with claim 21.~~

20

In accordance with a first aspect of the present invention, this object is achieved by a method for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, a raster having raster points existing for the data stream, two adjacent raster points defining one segment, and the raster comprising a plurality of segments, the method including the following steps:

30

a1) writing the code words of the first set such that starts of the code words are at raster points of different segments;

35

a2) in case a code word is longer than a segment, writing the remainder of the code words into an area of the raster which is not written onto after step a1), pursuant to a first predetermined regulation, until all code words of the first set have been written into the raster;

b1) in case the respective code word fits in the segment, writing each code word of the second set into a segment which is assigned to each individual code word pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned a different segment pursuant to the predetermined assignment rule;

b2) in case only a part of the respective code word fits in the assigned segment or in case the assigned segment is full, writing the part of the respective code word of the second set into the assigned segment and storing the remainder of the code word or, in case the assigned segment is full storing the entire code word which is assigned the full segment;

b3) writing the stored remainder or the stored entire code word, which did not fit in the respective segments in steps b1), b2), into an area of the raster which is not written onto after steps b1) and b2), pursuant to a second predetermined regulation, until all code words of the second set have been written into the raster.

In accordance with a second aspect of the present invention, this object is achieved by a method for reading a data stream of code words of variable lengths, wherein the data stream comprises code words of a plurality of sets of code words, wherein a raster is specified for the data stream, which comprises raster points in which two adjacent raster points define a segment, wherein the data stream comprises at least two segments, which method includes the following steps:

a) extracting the code words of the first set from the data stream by the following individual steps:

a1) for each segment, jumping to a raster point and reading a code word starting there;

a2) in case the code word which starts at a raster point is not finished at the end of the segment, storing the read section of the code word, and

a3) determining the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream;

b) extracting the code words of the second set of code words from the data stream remaining after step (a) by the following individual steps:

b1) for each remaining segment, jumping to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream, and reading the code word starting there, in order to obtain the code words of the second set;

b2) in case a code word of the second set is not finished at the end of a corresponding segment, storing the read section of the code word of the second set;

b3) determining the remainder of the code word or the code word not present at a raster point, on the basis of a second predetermined regulation which was used when producing the data stream.

In accordance with a third aspect of the present invention, this object is achieved by an apparatus for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, in which a raster having raster points is present for the data stream, in which two adjacent raster points define a segment, the raster comprises a plurality of segments, the apparatus comprising:

a) a device for writing the code words of the first set such that starts of code words are present at raster points of different segments, wherein the device is arranged so as

5 in case a code word is longer than a segment, to write the remainder of the code word into an area of the raster which is not written onto after step a1), pursuant to a first predetermined regulation, until all code words of the first set have been written into the raster;

10 b) a device for writing each code word of the second set into a segment which is assigned to each individual code word pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned a different
15 segment pursuant to the predetermined assignment rule, in case the respective code word fits in the segment, wherein the device is arranged so as

20 in case only part of the respective code word fits in the assigned segment or in case the assigned segment is full, to write the part of the respective code word of the second set into the assigned segment and to store the remainder of the code word (7b) or, in case the assigned segment is full, storing the entire code word which is
25 assigned the full segment;

to write the stored remainder and the stored entire code word, which did not fit in the respective segments in steps b1), b2), into an area of the raster which is not written
30 onto after steps b1) and b), pursuant to a second predetermined regulation, until all code words of the second set have been written into the raster.

35 In accordance with a fourth aspect of the present invention, this object is achieved by an apparatus for reading a data stream of code words of variable lengths, in which the data stream comprises code words of a plurality of sets of code words, wherein, for the data stream, a

raster is specified which comprises raster points, wherein two adjacent raster points define a segment, wherein the data stream comprises at least two segments, which apparatus comprises the following:

5 a) a device for extracting the code words of the first set from the data stream, which is arranged so as to

for each segment, to jump to a raster point and to read a code word starting there;

10 in case the code word starting at a raster point is not finished at the end of the segment, to store the read section of the code word;

15 to determine the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream; and

20 b) a device for extracting the code words of the second set of code words from the data stream which remains after step a), which is arranged so as

to jump, for each remaining segment, to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream and to read the code word starting there in order to obtain the code words of the second set,

30 in case a code word of the second set is not finished at the end of a corresponding segment, to store the read section of the code word of the second set;

35 to determine the remainder of the code word or the code word not present at a raster point on the basis of a second predetermined regulation which was used when producing the data stream.

The present invention is based on the realization that a data stream having code words of variable lengths must be configured such that successive code words are decoupled in the data stream as soon as possible such that a decoder
5 will not produce a very high number of sequence errors due to a transmission error. For this purpose, code words of variable lengths to be transmitted are divided up into a plurality of sets. The first set may include priority code words, whereas the second set may include non-priority code
10 words. In order to also protect non-priority code words from transmission errors, they are not simply written into the unoccupied raster, as in the prior art, but are distributed in the individual segments. After a fixed assignment which is known to the recipient, non-priority
15 code words are assigned to the segments such that each non-priority code word, i.e. each code word from the second set, is assigned to a different segment of the data stream. In order for this to work, each set may only have so many code words as there are segments for the data stream.
20 Therefore, the first set of code words is written into the raster such that each code word of the first set starts at a raster point. An attempt is then made to write each code word of the second set into the data stream such that each code word of the second set is assigned to a different
25 segment. Due to this assignment, i.e. each code word of the second set is written into a different segment, a decoder will no longer simply successively decode the code words of the second set but go to the corresponding segment for each code word of the second set in the raster in order to
30 extract the corresponding code word of the second set from this segment.

In case, already after the code word of the first set has been written into a segment, this segment is so full that
35 there is only partially room for the code word of the second set assigned to this segment or that there is no more room at all, that part of the code word of the second set for which there is still room is written into the

assigned segment, the remainder being stored. In case there is no room at all for the code word, the entire code word is stored until the assignment of each code word of the second set has been attempted. It is only then that a
5 second attempt will be made to write the stored parts or the stored complete code words of the second set, in accordance with a predetermined regulation, to segment sections which are still unoccupied.

10 In case the raster is configured such that code words of the first set exist which are longer than the segment length, the same method may be applied as early as for writing the first set of code words.

15 Once a decoder has extracted the code words of the first set which start at raster points from the data stream, it proceeds to extract the code words of the second set. In case a decoder finds only part of a code word of the second set of code words, this part will be stored and the process
20 is continued by looking for the next code word of the second set in a different segment. Only after all segments have been searched in such a first attempt, the missing part of a code word of the second set will be determined in a second or a further attempt, or a code word of the second
25 set, whose assigned segment had already been occupied by the code word of the first set, is determined.

Referring to Fig. 5, an error in code word No. 6 will therefore no longer lead to an error in code word No. 7,
30 since code word No. 7 would start in a different segment from segment 105, and code word No. 6 would be followed by an entirely different code word not adjacent to it.

A simple example may be used for further illustration. It
35 is based on the assumption that two code words in the first set and two code words in the second set, that is to say, a total of four code words of variable lengths, are present. It is further assumed, for comparison with the prior art,

that the code words 1 and 3 together are sufficiently long to fit in the first segment, and that code words 2 and 4 together are sufficiently long to completely fit in the second segment. In this case, an apparatus in accordance with the prior art would write the same data stream as an apparatus in accordance with the present invention. The apparatus in accordance with the prior art would firstly write the priority code words 1 and 2 to the two raster points and thereafter write code word No. 3 following code word No. 1 and write code word No. 4 to the next vacant space in the raster, that is to say following code word No. 2. Purely coincidentally, code word No. 4 is therefore no longer (at least partially) in the first segment, but entirely in the second segment.

An apparatus in accordance with the invention will initially write the code words of the first set to the corresponding raster points and will then write the first code word of the second set into the first segment and the code word of the second set into the second segment, irrespective of whether or not there is still room in the first segment. The apparatus in accordance with the invention will therefore attempt, at any rate, to write each code word of the second set into a different segment.

Even though both data streams coincidentally look identical, a significant difference will result for the recipient who will extract the code words of variable lengths from the data stream in order to place them in their order which is required for a decoder. In the prior art, an apparatus will, for extracting, initially read code word No. 1 at the first raster point and code word No. 2 at the second raster point, in order to obtain the code words of the first set. Thereafter, an apparatus in accordance with the prior art will go to the start of the remaining data stream and read code word No. 3 there, and following therein, read code word No. 4.

An apparatus in accordance with the present invention will, after reading code words 1 and 2 of the first set, also go to the start of the remaining data stream and read code word No. 3 there. However, the apparatus in accordance with 5 the invention will thereafter jump to the next segment in order to read the start of the fourth code word, i.e. the second code word of the second set.

It shall now be assumed, in the following, that code word 10 No. 3, i.e. the first code word of the second set, which was written behind the first code word of the first set in the fictitious data stream, has been interfered with such that a decoder will interpret the same as a shorter code word than it actually is. In this case the known apparatus 15 for reading the data stream will read code word No. 3 and will, due to the transmission error, stop too soon and will judge the remaining bit or bits, which actually belonged to code word No. 3, to be the start of code word No. 4. The apparatus in accordance with the invention, however, will 20 jump to the next segment after code word No. 3 has been terminated, and will therefore correctly determine the start of code word No. 4.

Using this simple example, the essential advantage of the 25 present invention can clearly be seen in that it prevents, due to the division of the code words of the second set into the individual segments, sequence errors also in code words of the second set, which may be, for example, non-priority code words. As has been described with reference 30 to No. 5, in the prior art, however, sequence errors occur even though the data streams of code words of variable lengths which are produced by the prior art and by the present invention, may be identical by coincidence.

35

Brief Description of the Drawings

Preferred embodiments of the present invention will be explained in detail below with reference to the attached drawings, in which:

5 Fig. 1 shows an inventive apparatus for producing a data stream of code words of variable length;

Fig. 2 shows an inventive apparatus for reading a data stream having code words of variable lengths;

10

Fig. 3 shows a procedural diagram of the inventive method by means of three sets of code words of variable lengths;

15

Fig. 4 shows a procedural diagram for illustrating the inventive method for reading a data stream which has been produced in accordance with Fig. 3;

20

Fig. 5 shows a data stream which is produced by a known apparatus and in which the priority code words are exposed to error propagation;

25

Fig. 6 shows a data stream in which sorting by priority code words and non-priority code words has been carried out.

Detailed Description of Preferred Embodiments

30

Before Fig. 1 will be described in more detail, it should be noted that encoding with code words of variable lengths is also referred to as entropy encoding in the art. One representative example of entropy encoding is the so-called Huffman encoding. In principle, in Huffman encoding, the information symbols to be encoded are statistically examined in order to determine shorter code words for the information symbols occurring more frequently than for information symbols occurring less frequently. In a complete Huffman code, all code words are terminated ends

35

or branches of a code tree. For example, a Huffman decoder serially reads in a data stream with Huffman code words and, put graphically, jumps to a branching of the specified code tree with each bit that it reads in additionally until, after a certain number of jumps, which corresponds to the number of bits of the code word, i.e. to the length of the code word, it arrives at a branch end which does not have any further branching and is therefore a code word. The decoder then knows that a new code word starts with the next bit. This process is repeated as often as required until the data stream has been completely read in. With each time that the Huffman encoder jumps back to the starting point, i.e. to the root of the tree, a code word is present at its point of origin. Since the lengths of the code words are implicitly specified by the code words themselves or by the code tree known in the encoder and in the decoder, it can be seen that an interference in the data stream which leads to a reversal of a bit misleads the decoder in the code tree, so to speak such that it ends up with a different code word, i.e. an incorrect code word, which is very likely to have a different length from the correct code word. In this case, the decoder will, once it has arrived at the incorrect code word, jump back and, due to the bits then following, again move from one branching point to another in the code tree. However, it is not possible for the decoder to avoid a sequence error, unless it coincidentally ends up on the "correct track".

Therefore, error protection, as is provided by the present invention, must be performed in order to ensure error-robust transmission. The apparatus for producing a data stream of code words of variable lengths in accordance with the present invention may therefore act as a sending or output stage of a Huffman encoder, as it were, whereas the apparatus for reading a data stream of code words of variable lengths may act as a receiving or input stage of a Huffman encoder. It can be seen from this that the present invention is not only applicable to Huffman encoders, but

to any code having code words of variable lengths which is susceptible to sequence errors.

Fig. 1 shows an inventive apparatus 10 for producing a data stream of code words of variable lengths, which apparatus has an input 12 and an output 14. At the input 12, the code words of variable lengths are present, whereas at the output 14, the error-robust data stream is output. The code words of variable lengths at the input 12 of the apparatus 10 have preferably already been pre-sorted such that priority code words are in a first set, less important code words are in a second set and even less important code words are in a third set, etc.

The code words of variable lengths are input into a device 16 for writing the code words of the first set into the data stream such that the code words of the first set each start at raster points.

Moreover, the code words of variable lengths are input into a device 18 for writing the code words of the second set into the data stream, a different segment being assigned to each code word of the second set. The data stream between the two devices 16 and 18 is therefore a data stream in which merely all code words of the first set are entered at raster points. In case the code words of variable lengths consist of only two sets of code words, then the error-robust data stream is already present at the output of the device 18. In case there are more than two sets of code words of variable lengths, there are further devices for writing the code words of the corresponding set into the data stream, which is symbolically illustrated by reference numeral 20.

Fig. 2 shows an inventive apparatus 22 for reading the error-robust data stream output at the output 14 (Fig. 1), which has an input 24 and an output 26. At the input 24, the error-robust data stream is input in order to output,

at the output 26, code words of variable lengths whose order corresponds to the order that was present at the input 12 (Fig. 1). The apparatus 22 for reading the data stream includes a device 28 for extracting the code words of the first set by jumping to the raster points, a downstream device 30 for extracting the code words of the second set by jumping to the raster points of the remaining data stream and, if need be, further devices 32 for extracting code words in accordance with the further sets, in case any such sets are present.

Before the method carried out by the apparatus 10 (Fig. 1) is explained in detail on the basis of Fig. 3 by means of an example, a summary of the method will first be given.

The code words available are divided up into a plurality of sets. Each set, except for the last one, includes as many code words as there are segments available. In the best case, one set contains as many code words as there are segments available. However, one set may also contain more or fewer code words, as it will almost necessarily be the case for the last set, since a predetermined number of code words of variable lengths must be assumed. In case M segments are present and in case a set has N code words, the number of the code words which are written to raster points corresponds to the minimum of M and N, whereas the number of attempts to accommodate the N code words in the raster in accordance with the invention corresponds to the maximum of M and N.

Preferably, the first set contains the most important code words, i.e. the priority code words, which represent information symbols which are more significant in comparison with the other information symbols. The following sets contain fewer important code words in the order as is provided by a pre-sorting algorithm, which preferably also carries out the classification into priority code words and non-priority code words. These sets are successively written by the device 10. Writing one set

should require several attempts. In the first attempt, the first code word of the current set is written into the first segment, and so on, until the last code word of the current set is written into the last segment. Of course, one may start with the second, with the third or with any other segment and then write in every segment in accordance with a certain specified regulation.

In case a code word does not fit into a segment, the remaining part of this code word is stored. In a second attempt, the remaining part of the first code word, in case it exists, is preferably written into the second segment, etc., until the remaining part of the last code word is preferably written into the first segment. Such an algorithm can also be referred to as modulo shift. Obviously, the predetermined regulation as to whether a remainder of a code word is to be written into the following segment, into the next-but-one segment, etc., in the next run, i.e. in the next attempt, is arbitrary.

Once a set has been completely written, the writing of the next set starts. In order to prevent propagation errors even more in accordance with a preferred embodiment of the present invention, the direction of writing within the segment is changed from set to set. For example, code words of the first set are written from left to right, whereas code words of the second set are written from right to left, etc. Therefore, with the present invention, the second side of a raster point is utilized for absolute error protection, as it were, also in accordance with this preferred embodiment.

The use of the system briefly summarized above can very strongly reduce the number of error propagation possibilities for a certain code word. As the sets are written successively and as each code word of a set is assigned to a certain segment and is also written into the segment, in case there is still room in the segment, no

error propagation from one code word within one set to the next code word within the set is possible, since a decoder always jumps from segment to segment when decoding and does not assume the start of a code word to be where the preceding one ended, as is the case in the prior art. In case a code word is only partially written into this segment, since the space available is not sufficient for completely entering the code word, the possibility of error propagation is at least reduced.

In accordance with a preferred embodiment of the present invention, the segment width is selected such that the priority code words completely fit in the segments. Therefore, writing the first set requires only one attempt.

However, this is arbitrary. Since, generally, a high number of raster points for one data stream, i.e. a segment length as small as possible, is aimed at, the case that also code words of the first set are longer than the segment length may also arise. However, this case would be treated like writing the second set, i.e. also in accordance with a predetermined regulation which must be known to the encoder as well as to the decoder.

Fig. 3 illustrates, by means of an example, the inventive method for writing code words of variable lengths. In the example, there are 15 code words of variable lengths 30 which are preferably divided up into a first set having 6 code words 1 to 6, into a second set also having 6 code words 7 to 12 and into a third set having the remaining 3 code words 13 to 15. As is shown in Fig. 3, code words 30 have variable lengths.

In accordance with a preferred embodiment of the present invention, the segment length, i.e. the length of the segment, is longer than the length of the longest code word of the first set. The code words of the first set are arranged at raster points 41 to 46, wherein, for the last segment No. 6, a raster point is indicated by a dotted

line, which raster point is not used, however, since the end 47 of the data stream can also be considered as a raster point as it were and since the raster point indicated by a dotted line is thus superfluous. The first segment No. 6 is therefore longer than the other segments, which is completely irrelevant for the present invention, however. Generally speaking, the segments may have any lengths, which change within the data stream, it being understood that the current length of a segment must be known to the decoder so that the inventive advantages can be utilized.

Firstly, the code words of the first set are written into the data stream in a step a), which results in a fragmentary data stream indicated by 31, in which the code words of the first set are written into a respective segment from left to right, as is indicated by arrows 48 which are to symbolize the direction of writing in the entire Fig. 3. Since the segment length is selected to be longer than the longest length of a code word of the first set, only one single attempt is needed for step a). In case the segments are shorter, more attempts are required accordingly.

Now the code words of the second set are written into the data stream 31 in a step b). In order to achieve high error robustness, the code words of the second set are preferably not written from left to right like the code words of the first set, but are written from right to left, starting from the second raster point, respectively, e.g. the raster point 42 for the first segment, as is indicated by the respective arrow of writing direction. The writing of the code words of the second set takes place in accordance with a predetermined assignment rule which says, in the example selected, that the first code word of the second set is to be written in the same segment as the first code word of the first set, however always on the condition that there is still room in this segment. The data stream 32 resulting

from the first attempt shows that in the first segment there was only so much room for writing the starting section of code word No. 7.

5 In contrast to the prior art, where the second part of code word No. 7 would have been written into the second segment, the second half of code word No. 7, i.e. 7 b), is stored for writing it into the data stream in a second attempt in accordance with a predetermined regulation, i.e. in
10 accordance with an regulation which must also be known to the decoder. Fig. 3 clearly shows that in the second segment, there was still enough room between code word Nos. 2 and 8 for the final section of code word No. 7 to be entered. In case there had not been enough room, the third
15 section of the code word would have been entered into segment No. 3. Thus, in Fig. 3, the predetermined regulation for entering code word No. 7 into the data stream consists in proceeding by one segment in each case. Of course, one may also proceed by two segments or by three
20 or more, such that, as a consequence, the second segment 7 b) could then be written, instead of the second segment, into the third, into the fifth in the next attempt, etc. The order of segments which is used to accommodate the second part of section 7 somewhere is arbitrary. However,
25 it must be transparent to the decoder so that the re-sorted data stream can be re-read.

The code words of the third set 13 to 15 are now to be entered into the resulting data stream 33, which is also
30 still fragmentary. By analogy with step b), this is done preferably by the same assignment rule such that the first code word of the third set is assigned to the first segment, that the second code word of the third set is assigned to the second segment, that the third code word of
35 the third set is assigned to the third segment, etc. This assignment rule is entirely arbitrary for the third set and may also be different from the assignment rule for the

second set, with each code word of a set being assigned to a different segment in accordance with the invention.

The first attempt in step c) was successful only in that the first section of code word No. 15 was entered, resulting in a fragmentary data stream 34. Code words 13, 14 and the second section of code word 15, i.e. 15 b) are stored for being accommodated in the second, third, fourth, fifth and sixth attempts, wherein the second section 15b could be accommodated in the fourth segment in the second attempt (data stream 35), wherein nothing could be accommodated in the third attempt, wherein the starting section of code word 14 could be accommodated in the fourth attempt (data stream 36), wherein the final section of code word 14, i.e. 14b could be accommodated in the fifth attempt (data stream 37) and wherein, finally, the first code word of the third set could be entered in the sixth segment in the sixth and final attempt, which results in the error-robust data stream 38 for the example illustrated here. The method described using Fig. 3 ensures that the length of the error-robust data stream exactly corresponds to the sum of the lengths of the code words of variable lengths, which is self-evident for the purposes of entropy encoding for data reduction. However, the present invention is not limited to the error-robust data stream having the minimal length, since error robustness is not affected by any filler bits that may be present.

When regarding the robust data stream shown in Fig. 3, it can be seen that the start of code word No. 8, i.e. raster point 43, is entirely independent of the end of code word No. 7. Moreover, the start of code word No. 9, i.e. raster point 44, is entirely independent of the end of code word No. 8. Additionally, it should be noted that due to the opposite writing order, a data error in code word No. 1 in the first segment, for example, which leads to the fact that the incorrect code word is one bit shorter than the correct code word No. 1 due to the data error, does not

lead to a destruction of the starting section of code word No. 7a, since the latter was written from right to left instead of from left to right. In case it had been written from left to right, a decoder would take the remaining bit from the initially correct code word No. 1 as the starting bit of code word No. 7, which would result in a sequence error from 1 to 7. However, this sequence error would not propagate to 8, since code word No. 8, again, is entirely independent of code word No. 7, since the writing order was chosen to be from right to left. In case the writing order of code word No. 8 is equal to the writing order of the code words of the first set, the error would not propagate from 7 to 8 either, since code word No. 8 would be written adjacent to code word No. 2 before the second part 7b due to the assignment rule and is, therefore, not influenced by an incorrect section 7b.

By means of an appropriate example, Fig. 4 shows the operation of the apparatus for reading the error-robust data stream 38. Initially, the code words of the first set are extracted from the error-robust data stream in step a). For this purpose, the inventive apparatus, which may be coupled to a Huffman decoder, reads the code word of the first set starting from the first raster point 41, reads code word No. 2 of the first set starting from the second raster point 42, etc., until all code words 1 to 6 of the first set have been read in. It is self-evident that the apparatus for reading the data stream selects the same direction as has been used by the apparatus for producing.

Subsequently, the code words of the second set are extracted from the remaining data stream 50 in step b). Here, the decoder jumps to the second raster point 42 of the first segment and obtains the starting section of code word 7 of the second set, whereupon it does not read in the second section 7b, but 7a is first stored in order to then read in the second code word of the second set starting from the second raster point of the second segment, etc.

The result is a residual data stream 51 in which the first segment has been completely emptied. Since the decoder does not now read the code word 7 continuously, but always reads segment by segment on the basis of the assignment rule used for the apparatus for producing the data stream, the error robustness which has already been described and which strongly reduces propagation of sequence errors is ensured.

10 In a second attempt for extracting the code words of the second set, the second part of code word 7b is now read in the second segment in accordance with the existing writing direction, whereupon only code words of the third set remain in the resulting data stream 52. (The second segment is now also empty.) These are extracted in step c), wherein 15 the starting section of code word 15 has been initially determined in a first attempt, which is not stored however, since code word 15 has not been found complete in the third segment. The third segment is now also empty, however, the raster points still exist in order for the decoder to be 20 able to orient itself by them. In a second attempt, code word 15 can be found complete. However, the search for code word 14 in segment 3 and for code word 15 in segment 14 remained without success, which can be seen by the data stream 54. Nevertheless, in the fourth attempt, the search 25 for code word 14 in the fifth segment lead to a positive result. However, code word 14 was not complete, which is why the starting section 14a was stored in order to examine the remaining data stream 55 in a fifth attempt and to fully read in, in a final sixth attempt, data stream 56, 30 which now only consists of the sixth segment and of code word 13.

Even though in the previous example merely a division of code words into a starting section and a final section was 35 illustrated by way of example, any type of division is possible in principle. Error-robust decoding will be ensured as long as the decoder observes the assignment of code words of the second set or of the third set and of

- further sets to different segments, respectively. Moreover, it is obvious that the sorting of the final sections of code words into the data stream is arbitrary as long as the decoder or the read-in circuit upstream of the decoder
- 5 knows exactly which predetermined regulation has been carried out in the encoder.

2011-11-11 14:11:11

Claims:

1. Method for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, a raster having raster points existing for the data stream, two adjacent raster points ~~(41, 42)~~ defining one segment ~~(40)~~, and the raster comprising a plurality of segments, the method including the following steps:

a1) writing the code words ~~(1-6)~~ of the first set such that starts of the code words are at raster points of different segments;

a2) in case a code word is longer than a segment, writing the remainder of the code words into an area of the raster which is not written onto after step a1), pursuant to a first predetermined regulation, until all code words of the first set have been written into the raster;

b1) in case the respective code word fits in the segment, writing each code word of the second set into a segment which is assigned to each individual code word pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned a different segment pursuant to the predetermined assignment rule;

b2) in case only a part of the respective code word fits in the assigned segment or in case the assigned segment is full, writing the part ~~(7a)~~ of the respective code word ~~(7)~~ of the second set into the assigned segment ~~(1)~~ and storing the remainder of the code word ~~(7b)~~ or in case the assigned segment is full storing the entire code word ~~(13)~~ which is assigned the full segment;

b3) writing the stored remainder ~~(7b)~~ and or the stored entire code word ~~(13)~~, which did not fit into the respective segments in steps b1), b2), into an area of the raster which is not written onto after steps b1) and b2), pursuant to a second predetermined regulation, until all code words of the second set have been written into the raster.

2. Method as claimed in claim 1, in which the code words of the first set are present in an order, wherein these are written into adjacent segments in accordance with their order.

3. Method as claimed in claim 1 ~~or 2~~, in which the first predetermined regulation in step a2) is as follows:

i) writing at least a part of the remainder of a code word of the first set into the segment following the segment in which the starting section of the code word is present, in case there is room, in the segment, for at least part of the remainder; and

ii) conducting step (i) for remainders of all further code words of the first set in case such code words are present; and

iii) conducting steps (i), (ii), wherein one proceeds by one segment for each remainder until all code words of the first set have been written into the data stream ~~(31)~~.

4. Method as claimed by ~~any of the preceding claims~~ claim 1, in which the code words of the second set are present in an order and the predetermined assignment rule assigns the first code word of the second set to

that segment in which the start of the first code word of the first set is present, assigns the second code word of the second set to that segment in which the start of the second code word of the first set is present and, in case existent, assigns each further code word of the first set that segment in which the start of the corresponding code word of the first set is present.

- 5 10 5. Method as claimed by ~~any of the preceding claims~~claim 1, in which the second predetermined regulation is equal to the first predetermined regulation.
- 15 6. Method as claimed by ~~any of the preceding claims~~claim 1, in which, pursuant to the first or second predetermined regulation, a code word of the corresponding set which does not entirely fit into the assigned segment falls in three or more parts in case there is only so much room in the segments following the assigned segment that, again, a remainder remains.
- 20 7. Method as claimed by ~~any of the preceding claims~~claim 1, in which the raster points are arranged equally spaced apart, whereby equally long segments, except for the final segment, result, wherein the equally long segments are longer than or as long as the longest code word of the first set, such that each code word of the first set fits in the corresponding segment.
- 25 8. Method as claimed by ~~any of the preceding claims~~claim 1, in which the code words of the first set are written in a first writing direction starting from the first raster points of the segments, respectively, and in which the code words of the second set are written in a second writing direction opposite to the first
- 30
- 35

writing direction, starting from the second raster points of the segments, respectively.

9. Method as claimed in claim 8, in which a third set of code words is present, wherein the third code words are, again, written into the raster in the first writing direction after all code words of the second set have been written into the raster.

10. Method as claimed by ~~any of the preceding claims~~claim 1, in which the code words are Huffman code words.

11. Method as claimed by ~~any of the preceding claims~~claim 1, in which the code words represent information symbols and in which code words of the first set represent more significant information symbols than code words of the second set or of further sets.

12. Method as claimed in claim 11, in which the information symbols are spectral values of an audio signal and code words of the first set are spectral values significant from a psycho-acoustic point of view, which are to be protected from any error propagation due to a transmission error in the data stream.

13. Method as claimed by ~~one of the preceding claims~~claim 1, in which the length of the data stream produced is equal to the sum of the lengths of the code words of variable lengths.

14. Method as claimed by ~~any of the preceding claims~~claim 1, in which more than two sets of code words are present and which further comprises the following step:

conducting steps b1), b2) and b3) for the code words of the further sets of code words, wherein the second

predetermined regulation corresponds to the second predetermined regulation of step b2) and wherein the predetermined assignment rule corresponds to the predetermined assignment rule of step b1).

5
15. Method for reading a data stream of code words of variable lengths, wherein the data stream comprises code words of a plurality of sets of code words, wherein a raster is specified for the data stream
10 ~~(38)~~, which comprises raster points ~~(41, 42)~~ in which two adjacent raster points ~~(41, 42)~~ define a segment ~~(40)~~, wherein the data stream comprises at least two segments, which method includes the following steps:

15 a) extracting the code words of the first set from the data stream ~~(38)~~ by the following individual steps:

20 a1) for each segment, jumping to a raster point and reading a code word starting there;

25 a2) in case the code word which starts at a raster point is not finished at the end of the segment, storing the read section of the code word, and

30 a3) determining the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream;

b) extracting the code words of the second set of code words from the data stream ~~(50)~~ remaining after step (a) by the following individual steps:

35 b1) for each remaining segment, jumping to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream, and reading the code

word starting there, in order to obtain the code words of the second set;

5 b2) in case a code word of the second set is not finished at the end of a corresponding segment, storing the read section of the code word of the second set;

10 b3) determining the remainder of the code word or the code word not present at a raster point, on the basis of a second predetermined regulation which was used when producing the data stream.

15 16. Method as claimed in claim 15, in which the data stream comprises more than two sets of code words, which method further includes the following step:

20 extracting the code words of the third set by repeating steps b1), b2) and b3), wherein the second predetermined regulation is equal to the second predetermined regulation of step b3) and wherein the assignment rule is equal to the assignment rule of step b1).

25 17. Method as claimed in claim 15 ~~or 16~~, in which the assignment rule, which was used when producing the data stream, assigns a first code word of the second set to a segment in which the first code word of the first set starts, wherein, in step b1), one jumps to the first raster point ~~(41)~~ in order to obtain the first code word of the second set, one jumps to the second raster point ~~(42)~~ in order to obtain the second code word of the second set, etc., wherein, in case no or only part of a code word of the second set starts at the first raster point ~~(41)~~, one initially reads, starting from all raster points, before a missing code word or a missing part of a code word is determined on the basis of the second predetermined regulation.

18. Method as claimed by ~~one of claims 15 to 17~~claim 15, in which the first predetermined regulation in step a3) is as follows:

for each stored section of a read code word, jumping to the next raster point in the data stream which remains after step a1) in order to determine the remainder of the code word;

in case a code word can be read to the end, connecting the code word which has been read to the end with the stored section in order to obtain the code word of the first set completely, otherwise storing a section which may have been read and repeating the step of jumping to the next raster point, until all code words of the first set are present.

19. Method as claimed by ~~any of the preceding claims~~claim 1, in which there are as many code words in the first set of code words as there are segments in the data stream, and in which the number of code words in the other set or sets is equal to or smaller than the number of code words in the first set, such that all code words of the first set are written to raster points.

20. Apparatus ~~(10)~~ for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, in which a raster having raster points is present for the data stream, in which two adjacent raster points ~~(41, 42)~~ define a segment ~~(40)~~, the raster comprises a plurality of segments, the apparatus comprising:

- a) a device ~~(16)~~ for writing the code words ~~(1-6)~~ of the first set such that starts of code words are

present at raster points of different segments,
wherein the device ~~(16)~~ is arranged so as

in case a code word is longer than a segment, to
write the remainder of the code word into an area
of the raster which is not written onto after
step a1), pursuant to a first predetermined
regulation, until all code words of the first set
have been written into the raster;

b) a device ~~(18)~~ for writing each code word of the
second set into a segment which is assigned to
each individual code word pursuant to a
predetermined assignment rule, wherein each code
word of the second set is assigned a different
segment pursuant to the predetermined assignment
rule, in case the respective code word fits in
the segment, wherein the device ~~(18)~~ is arranged
so as

in case only part of the respective code word
fits in the assigned segment or in case the
assigned segment is full, to write the part ~~(7a)~~
of the respective code word ~~(7)~~ of the second set
into the assigned segment ~~(1)~~ and to store the
remainder of the code word (7b) or, in case the
assigned segment is full, storing the entire code
word ~~(13)~~ which is assigned the full segment;

to write the stored remainder ~~(7b)~~ and the stored
entire code word ~~(13)~~, which did not fit in the
respective segments in steps b1), b2), into an
area of the raster which is not written onto
after steps b1) and b), pursuant to a second
predetermined regulation, until all code words of
the second set have been written into the raster.

21. Apparatus ~~(22)~~ for reading a data stream of code words of variable lengths, in which the data stream comprises code words of a plurality of sets of code words, wherein, for the data stream ~~(38)~~, a raster is specified which comprises raster points ~~(41, 42)~~, wherein two adjacent raster points ~~(41, 42)~~ define a segment ~~(40)~~, wherein the data stream comprises at least two segments, which apparatus comprises the following:

a) a device ~~(28)~~ for extracting the code words of the first set from the data stream ~~(38)~~, which is arranged so as to

for each segment, to jump to a raster point and to read a code word starting there;

in case the code word starting at a raster point is not finished at the end of the segment, to store the read section of the code word;

to determine the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream; and

b) a device ~~(30)~~ for extracting the code words of the second set of code words from the data stream ~~(50)~~ which remains after step a), which is arranged so as

to jump, for each remaining segment, to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream and to read the code word starting there in order to obtain the code words of the second set,

in case a code word of the second set is not finished at the end of a corresponding segment, to store the read section of the code word of the second set;

to determine the remainder of the code word or the code word not present at a raster point on the basis of a second predetermined regulation which was used when producing the data stream.

**Method and Apparatus for Producing a Data stream of Code
Words of Variable Lengths and Method and Apparatus for
Reading a Data stream of Code Words of Variable Lengths**

5

Abstract

10 In a method for producing a data stream of code words of
variable lengths which are divided up into a plurality of
sets of code words, wherein a raster having segments is
specified for the data stream, wherein two adjacent raster
points define a segment, code words of the first sets are
written into the data stream starting at raster points.
Subsequently, code words of the second set are written into
15 the data stream pursuant to a predetermined assignment
rule, wherein each code word of the second set is assigned
to a different segment. Entire code words or parts of code
words which cannot be written according to their
assignment, are stored and entered into the data stream in
20 further attempts, wherein the assignment by a predetermined
regulation is changed from attempt to attempt. This
procedure is analogously repeated for any further set which
may be present. Thus, the ends of code words of the second
set are decoupled from the starts of following code words
25 of the second set, since the corresponding code words of a
set are written segment by segment, which results in a
reduction of error propagation.

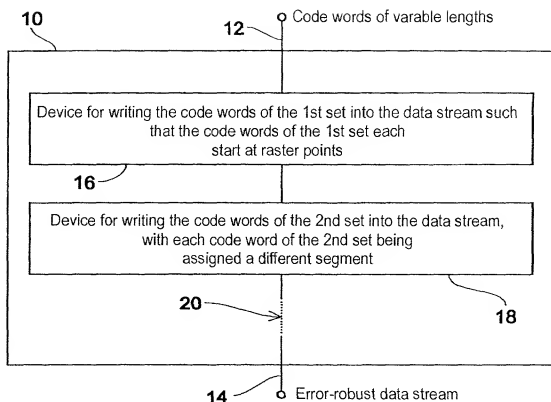


Fig. 1

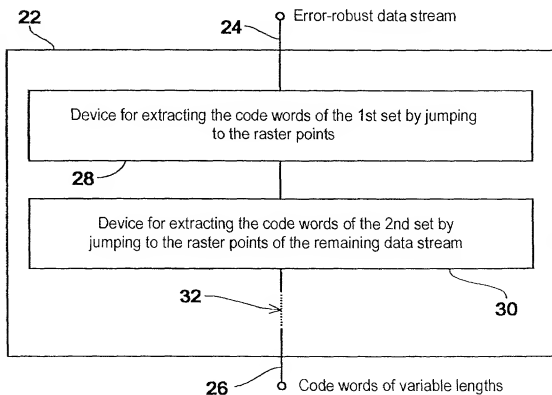
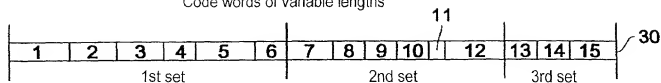
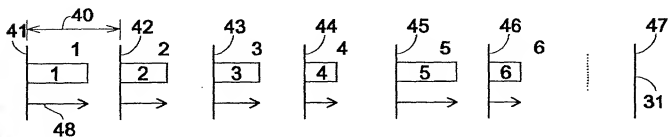


Fig. 2

Code words of variable lengths

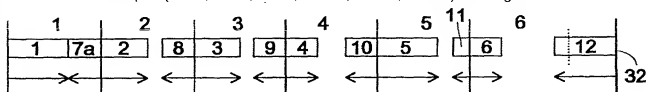


a) Writing the code words of the 1st set



b) Writing the code words of the second set

Attempt 1 (7 in 1, 8 in 2, 9 in 3, 10 in 4, 11 in 5, 12 in 6) : storing 7b



Attempt 2 (7 in 2) :

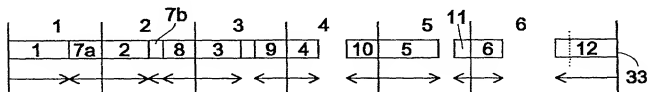
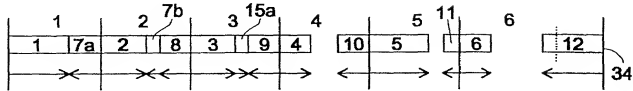


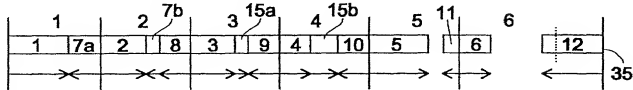
Fig. 3

c) Extracting the code words of the 3rd set

Attempt 1 (13 in 1, 14 in 2, 15 in 3) : storing 13, 14, 15b

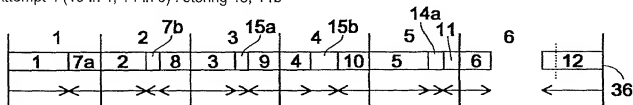


Attempt 2 (13 in 2, 14 in 3, 15 in 4) : storing 13, 14

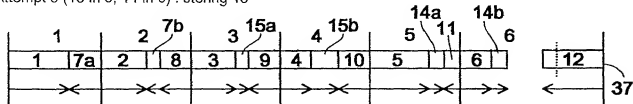


Attempt 3 (13 in 3, 14 in 4) : storing 13, 14

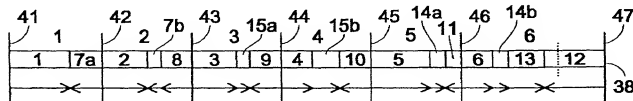
Attempt 4 (13 in 4, 14 in 5) : storing 13, 14b



Attempt 5 (13 in 5, 14 in 6) : storing 13

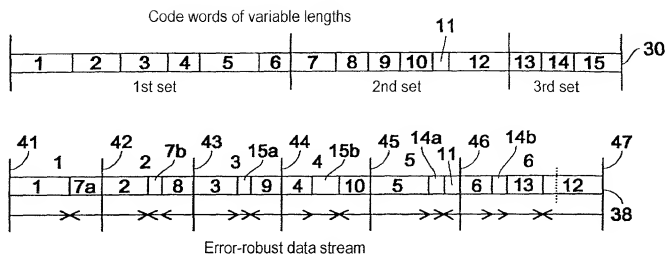


Attempt 6 (13 in 6)

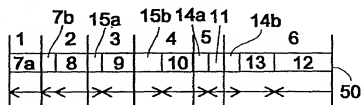


Error-robust data stream

Fig. 3

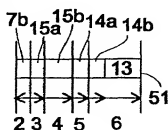


a) Extracting the code words of the first set



b) Extracting the code words of the second set

Attempt 1 (searching 7 in 1, 8 in 2, 9 in 3, 10 in 4, 11 in 5, 12 in 6)



Attempt 2 (searching 7 in 2)

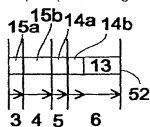
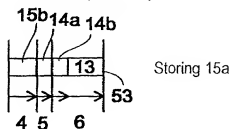


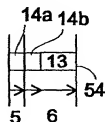
Fig. 4

c) Extracting the code words of the 3rd set

Attempt 1 (searching 13 in 1, 14 in 2, 15 in 3)

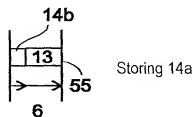


Attempt 2 (searching 13 in 2, 14 in 3, 15 in 4)



Attempt 3 (searching 13 in 3, 14 in 4)

Attempt 4 (searching 13 in 4, 14 in 5)



Attempt 5 (searching 13 in 5, 14 in 6)



Attempt 6 (searching 13 in 6)

Fig. 4

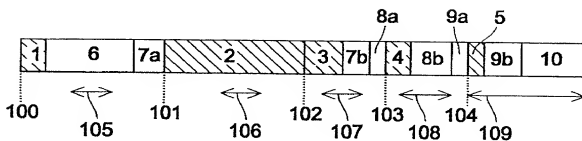
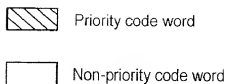


Fig. 5 (Prior art)

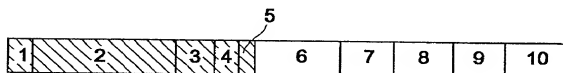


Fig. 6 (Prior art)

09/914114
518 Rec'd PCT/PTO 22 AUG 2001

National Phase of PCT/EP00/00312 in U.S.A.

Title: Method and Apparatus for Producing a Data stream of
Code Words of Variable Lengths and Method and
Apparatus for Reading a Data stream of Code Words of
Variable Lengths

Applicants: SPERSCHNEIDER; DIETZ; LAUBER; SCHUG

Final version of PCT/EP00/00312 for the prosecution at the
USPTO to be filed

Clean Copy after

amendations made

6/ppts

Method and Apparatus for Producing a Data stream of Code
Words of Variable Lengths and Method and Apparatus for
Reading a Data stream of Code Words of Variable Lengths

5

Field of the Invention

10 The present invention relates to encoding with code words
of variable lengths and, in particular, to producing and
reading data streams with code words of variable lengths,
which are robust with regard to errors in transmission.

15 Background of the Invention and Prior Art

Modern audio encoding or decoding methods which work by the
MPEG layer 3 standard, for example, are capable of
compressing the data rate of audio signals, e.g. by a
20 factor 12, without noticeably degrading the quality
thereof. In order to achieve such a high data rate
reduction, an audio signal is sampled, whereby a sequence
of discrete-time samples is obtained. As is known in the
art, the sequence of discrete-time samples is windowed in
25 order to obtain windowed blocks of time samples. A block of
time-windowed samples is then transformed to the frequency
range by means of a filter bank, a modified discrete cosine
transform (MDCT) or other suitable device, in order to
obtain spectral values which, as a whole, represent the
30 audio signal, i.e. the time section determined by the block
of discrete-time samples, in the frequency range. Usually,
time blocks which overlap at 50% are produced and
transformed to the frequency range by means of a MDCT
whereby, due to the specific properties of the MDCT, 1024
35 discrete-time samples, for example, always lead to 1024
spectral values.

It is known that the receptivity of the human ear depends on the momentary spectrum of the audio signal itself. This dependency is covered in the so-called psycho-acoustic model by means of which it has been possible for quite some time to calculate masking thresholds depending on the momentary spectrum. Masking means that a specific tone or a spectral component is hidden in case an adjacent spectral range, for example, has relatively high energy. This fact of masking is utilized in order to quantize as closely as possible the spectral values present after the transformation. The aim is therefore to avoid audible interferences in the re-decoded audio signal on the one hand and to use as few bits as possible on the other hand in order to encode or, in this case, to quantize the audio signal. The interferences introduced by quantization, i.e. quantization noise, are intended to be below the masking threshold and, therefore, to be inaudible. In accordance with known methods, a classification of the spectral values in so-called scale factor bands is carried out, which should correspond to the critical bands, i.e. frequency groups, of the human ear. Spectral values in a scale factor group are multiplied by a scale factor in order to carry out overall scaling of spectral values of a scale factor band. The scale factor bands scaled by the scale factor are then quantized, whereupon quantized spectral values are produced. It is understood that grouping in scale factor bands is not critical. However, it is used in the MPEG layer 3 standards or in the MPEG 2 AAC standard (AAC = advanced audio coding).

A very essential aspect of data reduction lies in entropy encoding of the quantized spectral values, which follows quantizing. Huffman encoding is usually used for entropy encoding. A Huffman coding is understood to mean a coding with a variable length, i.e. the length of the code word for a value to be encoded is dependent on the probability of occurrence thereof. Logically, the most probable character is assigned the shortest code, i.e. the shortest

code word, so that very good redundancy reduction can be achieved by means of Huffman encoding. An example for a generally-known coding with a general length is the Morse code.

In the field of audio encoding, Huffman codes are used for encoding the quantized spectral values. A modern audio encoder, which works, for example, in accordance with the MPEG 2 AAC standard, uses different Huffman code tables for encoding the quantized spectral values, which Huffman code tables are assigned to the spectrum by certain criteria on a section-by-section basis. In this process, 2 or 4 spectral values are always encoded together in one code word.

One difference between the method in accordance with MPEG 2 AAC and the method in accordance with MPEG layer 3 is that different scale factor bands, i.e. different spectral values, are grouped into any number of spectral sections. With AAC, one spectral section includes at least four spectral values, but preferably more than four spectral values. The entire frequency range of the spectral values is therefore divided up into adjacent sections, with one section representing one frequency band such that all sections together cover the entire frequency range, which is superimposed by the spectral values after the transformation thereof.

As in the MPEG layer 3 method, one section is assigned to a so-called "Huffman table" from a plurality of such tables in order to achieve a maximum redundancy reduction. In the bit stream of the AAC method, which usually comprises 1024 spectral values, are now the Huffman code words for the spectral values in an ascending order of frequencies. The information on the table used in each frequency section is transferred in the side information. This situation is shown in Fig. 6.

Fig. 6 shows the exemplary case where the bit stream includes 10 Huffman code words. In case one code word is always formed from one spectral value, 10 spectral values may be encoded here. However, usually 2 or 4 spectral values are always jointly encoded by one code word, which is why Fig. 6 shows a part of the encoded bit stream which includes 20 or 40 spectral values. In the case where each Huffman code word includes 2 spectral values, the code word designated by No. 1 represents the first two spectral values, with the length of code word No. 1 being relatively short, which means that the values of the first two spectral values, i.e. of the two smallest frequency coefficients, occur relatively frequently. The code word bearing the No. 2, however, has a relatively long length, which means that the amounts of the 3rd and 4th spectral coefficients in the encoded audio signal are relatively rare, which is why they are encoded with a relatively large amount of bits. Further, it is apparent from Fig. 6 that the code words with the numbers 3, 4 and 5, which represent the spectral coefficients 5 and 6 or 7 and 8 or 9 and 10, also occur relatively frequently, since the length of the individual code words is relatively small. The same applies to the code words bearing the numbers 6 to 10.

As has already been mentioned, it is clearly apparent from Fig. 6 that the Huffman code words for the encoded spectral values are arranged in the bit stream in a linearly ascending manner with regard to the frequency in case a bit stream which is produced by a known encoding apparatus is considered.

One major drawback with regard to Huffman codes, in the case of faulty channels, is error propagation. It may be assumed, for example, that code word No. 2 in Fig. 6 is interfered with. There is a certain, not low, probability that the length of this wrong code word No. 2 is also modified. It therefore is different from the correct length. In case, in the example of Fig. 6, code word No. 2

has been modified in its length due to an interference, it is no longer possible for an encoder to determine the starts of the code words 3 to 10, i.e. of almost the entire audio signal represented. This means that all other code words following the code word which has been interfered with can no longer be correctly encoded, since it is not known where these code words start, and since an incorrect starting point was selected due to the error.

- 10 As a solution to the problem of error propagation, European Patent No. 0 612 156 proposes that a part of the code words of variable lengths be arranged in a raster and that the remaining code words be distributed in the remaining gaps, so that the start of a code word which is arranged at a raster point can be more easily found without full decoding or in the case of an incorrect transmission.

- It is true that the known method provides some remedy for error propagation by means of rearranging the code words.
- 20 For some code words, a fixed location in the bit stream is agreed upon, whereas the remaining gaps are available for the remaining code words. This does not cost any additional bits, but prevents, in the case of an error, error propagation among the rearranged code words.

- 25 However, one decisive parameter for the efficiency of the known method lies in the manner in which the raster is determined in practical application, i.e. how many raster points must be used, which raster spacing the raster points must have, etc. Except for the general recommendation to use a raster for curbing error propagation, European Patent No. 0 612 156 does not give any more detailed information about how the raster should be designed efficiently in order to enable error-robust encoding on the one hand and efficient encoding on the other hand.
- 30
- 35

German Patent Application 19 747 119.6-31, which was published after the filing date of the present application,

proposes that not just any code words be located at raster points, but that code words which are significant from a psycho-acoustic point of view, i.e. code words for spectral values which make a significant contribution to the audio signal, be located at raster points. A data stream with code words of variable lengths, such as is produced by such an encoder, is shown in Fig. 5. As in Fig. 6, the data stream also includes 10 code words, with the priority code words being shaded. The first priority code word is located such as to start at a first raster point 100, the second priority code word is located such as to start at a second raster point 101, the third priority code word is located such as to start at a third raster point 102, the fourth priority code word is located such as to start at a fourth raster point 103 and the fifth priority code word is located such as to start at a fifth raster point 104. A first segment 105 is defined by the raster points 100 and 101. Similarly, a second 106, a third 107, a fourth 108 and a final segment 109 are defined. It is shown in Fig. 5 that the first two segments 105 and 106 have a different length from the two segments 107 and 108 and yet a different length from the final segment 109. Non-priority code words 6, 7, 8, 9 and 10 are then entered in the data stream following the priority code words such that the latter is filled up, so to speak. As is shown in Fig. 5, in the published method, the non-priority code words are consecutively inserted in the raster after the priority code words have been written. Specifically, the non-priority code word No. 6 is entered following the non-priority code word 1. The space still remaining in the segment 105 is filled up with the following non-priority code word 7, with the remainder of the non-priority code word 7, i.e. 7b, being written in the next free space, i.e. in the segment 107, directly following the priority code word 3. The same procedure is followed for the non-priority code words 8 to 10.

The advantage of the post-published method illustrated in Fig. 5 is that the priority code words 1 to 5 are protected against error propagation, since their starting points coincide with raster points and are therefore known.

5 In case, for example, the priority code word 2 has been damaged in transmission, it is very likely in the prior art shown in Fig. 6 that a decoder will not be able to decode any of the remaining code words 3 to 10 correctly. In the
10 method shown in Fig. 5, however, the next code word, i.e. priority code word 3, starts at the raster point 102 such that the decoder will, at any rate, find the correct start of code word 3. Therefore, in the method shown in Fig. 5, no sequence error whatsoever will occur, and only priority
15 code word No. 2 will be damaged. Consequently, this method provides effective protection for priority code words which are located at raster points.

20 However, there is no effective protection for non-priority code words. Referring to Fig. 5, damaging the non-priority code word No. 6 such that the decoder assumes, as an incorrect code word No. 6, a code word which is one bit shorter, will result in the fact that it is also no longer possible to correctly decode code word No. 7, since the
25 last bit of the correct code word No. 6 is interpreted as being the start of the next code word No. 7. Therefore, an error in code word No. 6 will lead to the fact that, at a very high probability, it will no longer be possible, due to a sequence error, to correctly decode any code words
30 following it even in case they have not been affected by a transmission error.

35 US Patent No. 5,579,430 discloses a method for digital encoding in which the code words to be written into a bit stream are arranged in the bit stream such that a part of the code words is initially arranged in a raster. Thus, no error propagation occurs in this section of the code words.

The remaining code words are distributed in the remaining gaps.

5

Summary of the Invention

It is the object of the present invention to find a concept for writing and reading a data stream of code words of variable lengths which provides particular protection against sequence errors due to a non-ideal transmission of the data stream.

10

In accordance with a first aspect of the present invention, this object is achieved by a method for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, a raster having raster points existing for the data stream, two adjacent raster points defining one segment, and the raster comprising a plurality of segments, the method including the following steps:

20

a1) writing the code words of the first set such that starts of the code words are at raster points of different segments;

25

a2) in case a code word is longer than a segment, writing the remainder of the code words into an area of the raster which is not written onto after step a1), pursuant to a first predetermined regulation, until all code words of the first set have been written into the raster;

30

b1) in case the respective code word fits in the segment, writing each code word of the second set into a segment which is assigned to each individual code word pursuant to a predetermined assignment rule, wherein each code word of

35

the second set is assigned a different segment pursuant to the predetermined assignment rule;

b2) in case only a part of the respective code word fits in the assigned segment or in case the assigned segment is full, writing the part of the respective code word of the second set into the assigned segment and storing the remainder of the code word or, in case the assigned segment is full storing the entire code word which is assigned the full segment;

b3) writing the stored remainder or the stored entire code word, which did not fit in the respective segments in steps b1), b2), into an area of the raster which is not written onto after steps b1) and b2), pursuant to a second predetermined regulation, until all code words of the second set have been written into the raster.

In accordance with a second aspect of the present invention, this object is achieved by a method for reading a data stream of code words of variable lengths, wherein the data stream comprises code words of a plurality of sets of code words, wherein a raster is specified for the data stream, which comprises raster points in which two adjacent raster points define a segment, wherein the data stream comprises at least two segments, which method includes the following steps:

a) extracting the code words of the first set from the data stream by the following individual steps:

a1) for each segment, jumping to a raster point and reading a code word starting there;

a2) in case the code word which starts at a raster point is not finished at the end of the segment, storing the read section of the code word, and

a3) determining the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream;

- 5 b) extracting the code words of the second set of code words from the data stream remaining after step (a) by the following individual steps:

10 b1) for each remaining segment, jumping to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream, and reading the code word starting there, in order to obtain the code words of the second set;

15 b2) in case a code word of the second set is not finished at the end of a corresponding segment, storing the read section of the code word of the second set;

20 b3) determining the remainder of the code word or the code word not present at a raster point, on the basis of a second predetermined regulation which was used when producing the data stream.

25 In accordance with a third aspect of the present invention, this object is achieved by an apparatus for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, in which a raster having raster points is present for the data stream, in which two adjacent raster points define a segment, the raster comprises a plurality of segments, the
30 apparatus comprising:

35 a) a device for writing the code words of the first set such that starts of code words are present at raster points of different segments, wherein the device is arranged so as

in case a code word is longer than a segment, to write the remainder of the code word into an area of the raster which

is not written onto after step a1), pursuant to a first predetermined regulation, until all code words of the first set have been written into the raster;

5 b) a device for writing each code word of the second set into a segment which is assigned to each individual code word pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned a different segment pursuant to the predetermined assignment rule, in
10 case the respective code word fits in the segment, wherein the device is arranged so as

in case only part of the respective code word fits in the assigned segment or in case the assigned segment is full,
15 to write the part of the respective code word of the second set into the assigned segment and to store the remainder of the code word (7b) or, in case the assigned segment is full, storing the entire code word which is assigned the full segment;

20 to write the stored remainder and the stored entire code word, which did not fit in the respective segments in steps b1), b2), into an area of the raster which is not written onto after steps b1) and b), pursuant to a second
25 predetermined regulation, until all code words of the second set have been written into the raster.

In accordance with a fourth aspect of the present invention, this object is achieved by an apparatus for
30 reading a data stream of code words of variable lengths, in which the data stream comprises code words of a plurality of sets of code words, wherein, for the data stream, a raster is specified which comprises raster points, wherein two adjacent raster points define a segment, wherein
35 data stream comprises at least two segments, which apparatus comprises the following:

a) a device for extracting the code words of the first set from the data stream, which is arranged so as to

for each segment, to jump to a raster point and to read a
5 code word starting there;

in case the code word starting at a raster point is not finished at the end of the segment, to store the read section of the code word;

10 to determine the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream; and

15 b) a device for extracting the code words of the second set of code words from the data stream which remains after step a), which is arranged so as

20 to jump, for each remaining segment, to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream and to read the code word starting there in order to obtain the code words of the second set,

25 in case a code word of the second set is not finished at the end of a corresponding segment, to store the read section of the code word of the second set;

30 to determine the remainder of the code word or the code word not present at a raster point on the basis of a second predetermined regulation which was used when producing the data stream.

35 The present invention is based on the realization that a data stream having code words of variable lengths must be configured such that successive code words are decoupled in the data stream as soon as possible such that a decoder will not produce a very high number of sequence errors due

to a transmission error. For this purpose, code words of variable lengths to be transmitted are divided up into a plurality of sets. The first set may include priority code words, whereas the second set may include non-priority code words. In order to also protect non-priority code words from transmission errors, they are not simply written into the unoccupied raster, as in the prior art, but are distributed in the individual segments. After a fixed assignment which is known to the recipient, non-priority code words are assigned to the segments such that each non-priority code word, i.e. each code word from the second set, is assigned to a different segment of the data stream. In order for this to work, each set may only have so many code words as there are segments for the data stream. Therefore, the first set of code words is written into the raster such that each code word of the first set starts at a raster point. An attempt is then made to write each code word of the second set into the data stream such that each code word of the second set is assigned to a different segment. Due to this assignment, i.e. each code word of the second set is written into a different segment, a decoder will no longer simply successively decode the code words of the second set but go to the corresponding segment for each code word of the second set in the raster in order to extract the corresponding code word of the second set from this segment.

In case, already after the code word of the first set has been written into a segment, this segment is so full that there is only partially room for the code word of the second set assigned to this segment or that there is no more room at all, that part of the code word of the second set for which there is still room is written into the assigned segment, the remainder being stored. In case there is no room at all for the code word, the entire code word is stored until the assignment of each code word of the second set has been attempted. It is only then that a second attempt will be made to write the stored parts or

the stored complete code words of the second set, in accordance with a predetermined regulation, to segment sections which are still unoccupied.

- 5 In case the raster is configured such that code words of the first set exist which are longer than the segment length, the same method may be applied as early as for writing the first set of code words.
- 10 Once a decoder has extracted the code words of the first set which start at raster points from the data stream, it proceeds to extract the code words of the second set. In case a decoder finds only part of a code word of the second set of code words, this part will be stored and the process
- 15 is continued by looking for the next code word of the second set in a different segment. Only after all segments have been searched in such a first attempt, the missing part of a code word of the second set will be determined in a second or a further attempt, or a code word of the second
- 20 set, whose assigned segment had already been occupied by the code word of the first set, is determined.

- Referring to Fig. 5, an error in code word No. 6 will therefore no longer lead to an error in code word No. 7,
- 25 since code word No. 7 would start in a different segment from segment 105, and code word No. 6 would be followed by an entirely different code word not adjacent to it.

- A simple example may be used for further illustration. It
- 30 is based on the assumption that two code words in the first set and two code words in the second set, that is to say, a total of four code words of variable lengths, are present. It is further assumed, for comparison with the prior art, that the code words 1 and 3 together are sufficiently long
- 35 to fit in the first segment, and that code words 2 and 4 together are sufficiently long to completely fit in the second segment. In this case, an apparatus in accordance with the prior art would write the same data stream as an

apparatus in accordance with the present invention. The apparatus in accordance with the prior art would firstly write the priority code words 1 and 2 to the two raster points and thereafter write code word No. 3 following code word No. 1 and write code word No. 4 to the next vacant space in the raster, that is to say following code word No. 2. Purely coincidentally, code word No. 4 is therefore no longer (at least partially) in the first segment, but entirely in the second segment.

An apparatus in accordance with the invention will initially write the code words of the first set to the corresponding raster points and will then write the first code word of the second set into the first segment and the code word of the second set into the second segment, irrespective of whether or not there is still room in the first segment. The apparatus in accordance with the invention will therefore attempt, at any rate, to write each code word of the second set into a different segment.

Even though both data streams coincidentally look identical, a significant difference will result for the recipient who will extract the code words of variable lengths from the data stream in order to place them in their order which is required for a decoder. In the prior art, an apparatus will, for extracting, initially read code word No. 1 at the first raster point and code word No. 2 at the second raster point, in order to obtain the code words of the first set. Thereafter, an apparatus in accordance with the prior art will go to the start of the remaining data stream and read code word No. 3 there, and following therein, read code word No. 4.

An apparatus in accordance with the present invention will, after reading code words 1 and 2 of the first set, also go to the start of the remaining data stream and read code word No. 3 there. However, the apparatus in accordance with the invention will thereafter jump to the next segment in

order to read the start of the fourth code word, i.e. the second code word of the second set.

It shall now be assumed, in the following, that code word No. 3, i.e. the first code word of the second set, which was written behind the first code word of the first set in the fictitious data stream, has been interfered with such that a decoder will interpret the same as a shorter code word than it actually is. In this case the known apparatus for reading the data stream will read code word No. 3 and will, due to the transmission error, stop too soon and will judge the remaining bit or bits, which actually belonged to code word No. 3, to be the start of code word No. 4. The apparatus in accordance with the invention, however, will jump to the next segment after code word No. 3 has been terminated, and will therefore correctly determine the start of code word No. 4.

Using this simple example, the essential advantage of the present invention can clearly be seen in that it prevents, due to the division of the code words of the second set into the individual segments, sequence errors also in code words of the second set, which may be, for example, non-priority code words. As has been described with reference to No. 5, in the prior art, however, sequence errors occur even though the data streams of code words of variable lengths which are produced by the prior art and by the present invention, may be identical by coincidence.

Brief Description of the Drawings

Preferred embodiments of the present invention will be explained in detail below with reference to the attached drawings, in which:

Fig. 1 shows an inventive apparatus for producing a data stream of code words of variable length;

Fig. 2 shows an inventive apparatus for reading a data stream having code words of variable lengths;

5 Fig. 3 shows a procedural diagram of the inventive method by means of three sets of code words of variable lengths;

Fig. 4 shows a procedural diagram for illustrating the inventive method for reading a data stream which has been
10 produced in accordance with Fig. 3;

Fig. 5 shows a data stream which is produced by a known apparatus and in which the priority code words are exposed to error propagation;

15 Fig. 6 shows a data stream in which sorting by priority code words and non-priority code words has been carried out.

20

Detailed Description of Preferred Embodiments

Before Fig. 1 will be described in more detail, it should be noted that encoding with code words of variable lengths
25 is also referred to as entropy encoding in the art. One representative example of entropy encoding is the so-called Huffman encoding. In principle, in Huffman encoding, the information symbols to be encoded are statistically examined in order to determine shorter code words for the
30 information symbols occurring more frequently than for information symbols occurring less frequently. In a complete Huffman code, all code words are terminated ends or branches of a code tree. For example, a Huffman decoder serially reads in a data stream with Huffman code words
35 and, put graphically, jumps to a branching of the specified code tree with each bit that it reads in additionally until, after a certain number of jumps, which corresponds to the number of bits of the code word, i.e. to the length

of the code word, it arrives at a branch end which does not have any further branching and is therefore a code word. The decoder then knows that a new code word starts with the next bit. This process is repeated as often as required until the data stream has been completely read in. With each time that the Huffman encoder jumps back to the starting point, i.e. to the root of the tree, a code word is present at its point of origin. Since the lengths of the code words are implicitly specified by the code words themselves or by the code tree known in the encoder and in the decoder, it can be seen that an interference in the data stream which leads to a reversal of a bit misleads the decoder in the code tree, so to speak such that it ends up with a different code word, i.e. an incorrect code word, which is very likely to have a different length from the correct code word. In this case, the decoder will, once it has arrived at the incorrect code word, jump back and, due to the bits then following, again move from one branching point to another in the code tree. However, it is not possible for the decoder to avoid a sequence error, unless it coincidentally ends up on the "correct track".

Therefore, error protection, as is provided by the present invention, must be performed in order to ensure error-robust transmission. The apparatus for producing a data stream of code words of variable lengths in accordance with the present invention may therefore act as a sending or output stage of a Huffman encoder, as it were, whereas the apparatus for reading a data stream of code words of variable lengths may act as a receiving or input stage of a Huffman encoder. It can be seen from this that the present invention is not only applicable to Huffman encoders, but to any code having code words of variable lengths which is susceptible to sequence errors.

Fig. 1 shows an inventive apparatus 10 for producing a data stream of code words of variable lengths, which apparatus has an input 12 and an output 14. At the input 12, the code

words of variable lengths are present, whereas at the output 14, the error-robust data stream is output. The code words of variable lengths at the input 12 of the apparatus 10 have preferably already been pre-sorted such that priority code words are in a first set, less important code words are in a second set and even less important code words are in a third set, etc.

The code words of variable lengths are input into a device 16 for writing the code words of the first set into the data stream such that the code words of the first set each start at raster points.

Moreover, the code words of variable lengths are input into a device 18 for writing the code words of the second set into the data stream, a different segment being assigned to each code word of the second set. The data stream between the two devices 16 and 18 is therefore a data stream in which merely all code words of the first set are entered at raster points. In case the code words of variable lengths consist of only two sets of code words, then the error-robust data stream is already present at the output of the device 18. In case there are more than two sets of code words of variable lengths, there are further devices for writing the code words of the corresponding set into the data stream, which is symbolically illustrated by reference numeral 20.

Fig. 2 shows an inventive apparatus 22 for reading the error-robust data stream output at the output 14 (Fig. 1), which has an input 24 and an output 26. At the input 24, the error-robust data stream is input in order to output, at the output 26, code words of variable lengths whose order corresponds to the order that was present at the input 12 (Fig. 1). The apparatus 22 for reading the data stream includes a device 28 for extracting the code words of the first set by jumping to the raster points, a downstream device 30 for extracting the code words of the

second set by jumping to the raster points of the remaining data stream and, if need be, further devices 32 for extracting code words in accordance with the further sets, in case any such sets are present.

Before the method carried out by the apparatus 10 (Fig. 1) is explained in detail on the basis of Fig. 3 by means of an example, a summary of the method will first be given. The code words available are divided up into a plurality of sets. Each set, except for the last one, includes as many code words as there are segments available. In the best case, one set contains as many code words as there are segments available. However, one set may also contain more or fewer code words, as it will almost necessarily be the case for the last set, since a predetermined number of code words of variable lengths must be assumed. In case M segments are present and in case a set has N code words, the number of the code words which are written to raster points corresponds to the minimum of M and N, whereas the number of attempts to accommodate the N code words in the raster in accordance with the invention corresponds to the maximum of M and N.

Preferably, the first set contains the most important code words, i.e. the priority code words, which represent information symbols which are more significant in comparison with the other information symbols. The following sets contain fewer important code words in the order as is provided by a pre-sorting algorithm, which preferably also carries out the classification into priority code words and non-priority code words. These sets are successively written by the device 10. Writing one set should require several attempts. In the first attempt, the first code word of the current set is written into the first segment, and so on, until the last code word of the current set is written into the last segment. Of course, one may start with the second, with the third or with any

other segment and then write in every segment in accordance with a certain specified regulation.

In case a code word does not fit into a segment, the remaining part of this code word is stored. In a second attempt, the remaining part of the first code word, in case it exists, is preferably written into the second segment, etc., until the remaining part of the last code word is preferably written into the first segment. Such an algorithm can also be referred to as modulo shift. Obviously, the predetermined regulation as to whether a remainder of a code word is to be written into the following segment, into the next-but-one segment, etc., in the next run, i.e. in the next attempt, is arbitrary.

Once a set has been completely written, the writing of the next set starts. In order to prevent propagation errors even more in accordance with a preferred embodiment of the present invention, the direction of writing within the segment is changed from set to set. For example, code words of the first set are written from left to right, whereas code words of the second set are written from right to left, etc. Therefore, with the present invention, the second side of a raster point is utilized for absolute error protection, as it were, also in accordance with this preferred embodiment.

The use of the system briefly summarized above can very strongly reduce the number of error propagation possibilities for a certain code word. As the sets are written successively and as each code word of a set is assigned to a certain segment and is also written into the segment, in case there is still room in the segment, no error propagation from one code word within one set to the next code word within the set is possible, since a decoder always jumps from segment to segment when decoding and does not assume the start of a code word to be where the preceding one ended, as is the case in the prior art. In

case a code word is only partially written into this segment, since the space available is not sufficient for completely entering the code word, the possibility of error propagation is at least reduced.

5

In accordance with a preferred embodiment of the present invention, the segment width is selected such that the priority code words completely fit in the segments. Therefore, writing the first set requires only one attempt.

10

However, this is arbitrary. Since, generally, a high number of raster points for one data stream, i.e. a segment length as small as possible, is aimed at, the case that also code words of the first set are longer than the segment length may also arise. However, this case would be treated like writing the second set, i.e. also in accordance with a predetermined regulation which must be known to the encoder as well as to the decoder.

15

Fig. 3 illustrates, by means of an example, the inventive method for writing code words of variable lengths. In the example, there are 15 code words of variable lengths 30 which are preferably divided up into a first set having 6 code words 1 to 6, into a second set also having 6 code words 7 to 12 and into a third set having the remaining 3 code words 13 to 15. As is shown in Fig. 3, code words 30 have variable lengths.

20

25

In accordance with a preferred embodiment of the present invention, the segment length, i.e. the length of the segment, is longer than the length of the longest code word of the first set. The code words of the first set are arranged at raster points 41 to 46, wherein, for the last segment No. 6, a raster point is indicated by a dotted line, which raster point is not used, however, since the end 47 of the data stream can also be considered as a raster point as it were and since the raster point indicated by a dotted line is thus superfluous. The first segment No. 6 is therefore longer than the other segments,

30

35

which is completely irrelevant for the present invention, however. Generally speaking, the segments may have any lengths, which change within the data stream, it being understood that the current length of a segment must be known to the decoder so that the inventive advantages can be utilized.

Firstly, the code words of the first set are written into the data stream in a step a), which results in a fragmentary data stream indicated by 31, in which the code words of the first set are written into a respective segment from left to right, as is indicated by arrows 48 which are to symbolize the direction of writing in the entire Fig. 3. Since the segment length is selected to be longer than the longest length of a code word of the first set, only one single attempt is needed for step a). In case the segments are shorter, more attempts are required accordingly.

Now the code words of the second set are written into the data stream 31 in a step b). In order to achieve high error robustness, the code words of the second set are preferably not written from left to right like the code words of the first set, but are written from right to left, starting from the second raster point, respectively, e.g. the raster point 42 for the first segment, as is indicated by the respective arrow of writing direction. The writing of the code words of the second set takes place in accordance with a predetermined assignment rule which says, in the example selected, that the first code word of the second set is to be written in the same segment as the first code word of the first set, however always on the condition that there is still room in this segment. The data stream 32 resulting from the first attempt shows that in the first segment there was only so much room for writing the starting section of code word No. 7.

In contrast to the prior art, where the second part of code word No. 7 would have been written into the second segment, the second half of code word No. 7, i.e. 7 b), is stored for writing it into the data stream in a second attempt in accordance with a predetermined regulation, i.e. in accordance with an regulation which must also be known to the decoder. Fig. 3 clearly shows that in the second segment, there was still enough room between code word Nos. 2 and 8 for the final section of code word No. 7 to be entered. In case there had not been enough room, the third section of the code word would have been entered into segment No. 3. Thus, in Fig. 3, the predetermined regulation for entering code word No. 7 into the data stream consists in proceeding by one segment in each case. Of course, one may also proceed by two segments or by three or more, such that, as a consequence, the second segment 7 b) could then be written, instead of the second segment, into the third, into the fifth in the next attempt, etc. The order of segments which is used to accommodate the second part of section 7 somewhere is arbitrary. However, it must be transparent to the decoder so that the re-sorted data stream can be re-read.

The code words of the third set 13 to 15 are now to be entered into the resulting data stream 33, which is also still fragmentary. By analogy with step b), this is done preferably by the same assignment rule such that the first code word of the third set is assigned to the first segment, that the second code word of the third set is assigned to the second segment, that the third code word of the third set is assigned to the third segment, etc. This assignment rule is entirely arbitrary for the third set and may also be different from the assignment rule for the second set, with each code word of a set being assigned to a different segment in accordance with the invention.

The first attempt in step c) was successful only in that the first section of code word No. 15 was entered,

resulting in a fragmentary data stream 34. Code words 13, 14 and the second section of code word 15, i.e. 15 b) are stored for being accommodated in the second, third, fourth, fifth and sixth attempts, wherein the second section 15b could be accommodated in the fourth segment in the second attempt (data stream 35), wherein nothing could be accommodated in the third attempt, wherein the starting section of code word 14 could be accommodated in the fourth attempt (data stream 36), wherein the final section of code word 14, i.e. 14b could be accommodated in the fifth attempt (data stream 37) and wherein, finally, the first code word of the third set could be entered in the sixth segment in the sixth and final attempt, which results in the error-robust data stream 38 for the example illustrated here. The method described using Fig. 3 ensures that the length of the error-robust data stream exactly corresponds to the sum of the lengths of the code words of variable lengths, which is self-evident for the purposes of entropy encoding for data reduction. However, the present invention is not limited to the error-robust data stream having the minimal length, since error robustness is not affected by any filler bits that may be present.

When regarding the robust data stream shown in Fig. 3, it can be seen that the start of code word No. 8, i.e. raster point 43, is entirely independent of the end of code word No. 7. Moreover, the start of code word No. 9, i.e. raster point 44, is entirely independent of the end of code word No. 8. Additionally, it should be noted that due to the opposite writing order, a data error in code word No. 1 in the first segment, for example, which leads to the fact that the incorrect code word is one bit shorter than the correct code word No. 1 due to the data error, does not lead to a destruction of the starting section of code word No. 7a, since the latter was written from right to left instead of from left to right. In case it had been written from left to right, a decoder would take the remaining bit from the initially correct code word No. 1 as the starting

bit of code word No. 7, which would result in a sequence error from 1 to 7. However, this sequence error would not propagate to 8, since code word No. 8, again, is entirely independent of code word No. 7, since the writing order was chosen to be from right to left. In case the writing order of code word No. 8 is equal to the writing order of the code words of the first set, the error would not propagate from 7 to 8 either, since code word No. 8 would be written adjacent to code word No. 2 before the second part 7b due to the assignment rule and is, therefore, not influenced by an incorrect section 7b.

By means of an appropriate example, Fig. 4 shows the operation of the apparatus for reading the error-robust data stream 38. Initially, the code words of the first set are extracted from the error-robust data stream in step a). For this purpose, the inventive apparatus, which may be coupled to a Huffman decoder, reads the code word of the first set starting from the first raster point 41, reads code word No. 2 of the first set starting from the second raster point 42, etc., until all code words 1 to 6 of the first set have been read in. It is self-evident that the apparatus for reading the data stream selects the same direction as has been used by the apparatus for producing.

Subsequently, the code words of the second set are extracted from the remaining data stream 50 in step b). Here, the decoder jumps to the second raster point 42 of the first segment and obtains the starting section of code word 7 of the second set, whereupon it does not read in the second section 7b, but 7a is first stored in order to then read in the second code word of the second set starting from the second raster point of the second segment, etc. The result is a residual data stream 51 in which the first segment has been completely emptied. Since the decoder does not now read the code word 7 continuously, but always reads segment by segment on the basis of the assignment rule used for the apparatus for producing the data stream, the error

robustness which has already been described and which strongly reduces propagation of sequence errors is ensured.

In a second attempt for extracting the code words of the second set, the second part of code word 7b is now read in the second segment in accordance with the existing writing direction, whereupon only code words of the third set remain in the resulting data stream 52. (The second segment is now also empty.) These are extracted in step c), wherein the starting section of code word 15 has been initially determined in a first attempt, which is not stored however, since code word 15 has not been found complete in the third segment. The third segment is now also empty, however, the raster points still exist in order for the decoder to be able to orient itself by them. In a second attempt, code word 15 can be found complete. However, the search for code word 14 in segment 3 and for code word 15 in segment 14 remained without success, which can be seen by the data stream 54. Nevertheless, in the fourth attempt, the search for code word 14 in the fifth segment lead to a positive result. However, code word 14 was not complete, which is why the starting section 14a was stored in order to examine the remaining data stream 55 in a fifth attempt and to fully read in, in a final sixth attempt, data stream 56, which now only consists of the sixth segment and of code word 13.

Even though in the previous example merely a division of code words into a starting section and a final section was illustrated by way of example, any type of division is possible in principle. Error-robust decoding will be ensured as long as the decoder observes the assignment of code words of the second set or of the third set and of further sets to different segments, respectively. Moreover, it is obvious that the sorting of the final sections of code words into the data stream is arbitrary as long as the decoder or the read-in circuit upstream of the decoder

knows exactly which predetermined regulation has been carried out in the encoder.

Claims:

1. Method for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, a raster having raster points existing for the data stream, two adjacent raster points defining one segment, and the raster comprising a plurality of segments, the method including the following steps:

a1) writing the code words of the first set such that starts of the code words are at raster points of different segments;

a2) in case a code word is longer than a segment, writing the remainder of the code words into an area of the raster which is not written onto after step a1), pursuant to a first predetermined regulation, until all code words of the first set have been written into the raster;

b1) in case the respective code word fits in the segment, writing each code word of the second set into a segment which is assigned to each individual code word pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned a different segment pursuant to the predetermined assignment rule;

b2) in case only a part of the respective code word fits in the assigned segment or in case the assigned segment is full, writing the part of the respective code word of the second set into the assigned segment and storing the remainder of the code word or, in case the assigned segment is full storing the entire code word which is assigned the full segment;

b3) writing the stored remainder or the stored entire code word, which did not fit in the respective segments in steps b1), b2), into an area of the raster which is not written onto after steps b1) and b2), pursuant to a second predetermined regulation, until all code words of the second set have been written into the raster.

2. Method as claimed in claim 1, in which the code words of the first set are present in an order, wherein these are written into adjacent segments in accordance with their order.

3. Method as claimed in claim 1, in which the first predetermined regulation in step a2) is as follows:

i) writing at least a part of the remainder of a code word of the first set into the segment following the segment in which the starting section of the code word is present, in case there is room, in the segment, for at least part of the remainder; and

ii) conducting step (i) for remainders of all further code words of the first set in case such code words are present; and

iii) conducting steps (i), (ii), wherein one proceeds by one segment for each remainder until all code words of the first set have been written into the data stream.

4. Method as claimed by claim 1, in which the code words of the second set are present in an order and the predetermined assignment rule assigns the first code word of the second set to that segment in which the start of the first code word of the first set is

present, assigns the second code word of the second set to that segment in which the start of the second code word of the first set is present and, in case existent, assigns each further code word of the first set that segment in which the start of the corresponding code word of the first set is present.

5. Method as claimed by claim 1, in which the second predetermined regulation is equal to the first predetermined regulation.

6. Method as claimed by claim 1, in which, pursuant to the first or second predetermined regulation, a code word of the corresponding set which does not entirely fit into the assigned segment falls in three or more parts in case there is only so much room in the segments following the assigned segment that, again, a remainder remains.

7. Method as claimed by claim 1, in which the raster points are arranged equally spaced apart, whereby equally long segments, except for the final segment, result, wherein the equally long segments are longer than or as long as the longest code word of the first set, such that each code word of the first set fits in the corresponding segment.

8. Method as claimed by claim 1, in which the code words of the first set are written in a first writing direction starting from the first raster points of the segments, respectively, and in which the code words of the second set are written in a second writing direction opposite to the first writing direction, starting from the second raster points of the segments, respectively.

9. Method as claimed in claim 8, in which a third set of code words is present, wherein the third code words

are, again, written into the raster in the first writing direction after all code words of the second set have been written into the raster.

10. Method as claimed by claim 1, in which the code words are Huffman code words.

11. Method as claimed by claim 1, in which the code words represent information symbols and in which code words of the first set represent more significant information symbols than code words of the second set or of further sets.

12. Method as claimed in claim 11, in which the information symbols are spectral values of an audio signal and code words of the first set are spectral values significant from a psycho-acoustic point of view, which are to be protected from any error propagation due to a transmission error in the data stream.

13. Method as claimed by claim 1, in which the length of the data stream produced is equal to the sum of the lengths of the code words of variable lengths.

14. Method as claimed by claim 1, in which more than two sets of code words are present and which further comprises the following step:

conducting steps b1), b2) and b3) for the code words of the further sets of code words, wherein the second predetermined regulation corresponds to the second predetermined regulation of step b2) and wherein the predetermined assignment rule corresponds to the predetermined assignment rule of step b1).

15. Method for reading a data stream of code words of variable lengths, wherein the data stream comprises

code words of a plurality of sets of code words, wherein a raster is specified for the data stream, which comprises raster points in which two adjacent raster points define a segment, wherein the data stream comprises at least two segments, which method includes the following steps:

a) extracting the code words of the first set from the data stream by the following individual steps:

a1) for each segment, jumping to a raster point and reading a code word starting there;

a2) in case the code word which starts at a raster point is not finished at the end of the segment, storing the read section of the code word, and

a3) determining the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream;

b) extracting the code words of the second set of code words from the data stream remaining after step (a) by the following individual steps:

b1) for each remaining segment, jumping to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream, and reading the code word starting there, in order to obtain the code words of the second set;

b2) in case a code word of the second set is not finished at the end of a corresponding segment, storing the read section of the code word of the second set;

b3) determining the remainder of the code word or the code word not present at a raster point, on the basis of a second predetermined regulation which was used when producing the data stream.

16. Method as claimed in claim 15, in which the data stream comprises more than two sets of code words, which method further includes the following step:

extracting the code words of the third set by repeating steps b1), b2) and b3), wherein the second predetermined regulation is equal to the second predetermined regulation of step b3) and wherein the assignment rule is equal to the assignment rule of step b1).

17. Method as claimed in claim 15, in which the assignment rule, which was used when producing the data stream, assigns a first code word of the second set to a segment in which the first code word of the first set starts, wherein, in step b1), one jumps to the first raster point in order to obtain the first code word of the second set, one jumps to the second raster point in order to obtain the second code word of the second set, etc., wherein, in case no or only part of a code word of the second set starts at the first raster point, one initially reads starting from all raster points, before a missing code word or a missing part of a code word is determined on the basis of the second predetermined regulation.

18. Method as claimed by claim 15, in which the first predetermined regulation in step a3) is as follows:

for each stored section of a read code word, jumping to the next raster point in the data stream which

remains after step a1) in order to determine the remainder of the code word;

in case a code word can be read to the end, connecting the code word which has been read to the end with the stored section in order to obtain the code word of the first set completely, otherwise storing a section which may have been read and repeating the step of jumping to the next raster point, until all code words of the first set are present.

19. Method as claimed by claim 1, in which there are as many code words in the first set of code words as there are segments in the data stream, and in which the number of code words in the other set or sets is equal to or smaller than the number of code words in the first set, such that all code words of the first set are written to raster points.

20. Apparatus for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, in which a raster having raster points is present for the data stream, in which two adjacent raster points define a segment, the raster comprises a plurality of segments, the apparatus comprising:

a) a device for writing the code words of the first set such that starts of code words are present at raster points of different segments, wherein the device is arranged so as

in case a code word is longer than a segment, to write the remainder of the code word into an area of the raster which is not written onto after step a1), pursuant to a first predetermined regulation, until all code words of the first set have been written into the raster;

b) a device for writing each code word of the second set into a segment which is assigned to each individual code word pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned a different segment pursuant to the predetermined assignment rule, in case the respective code word fits in the segment, wherein the device is arranged so as

in case only part of the respective code word fits in the assigned segment or in case the assigned segment is full, to write the part of the respective code word of the second set into the assigned segment and to store the remainder of the code word (7b) or, in case the assigned segment is full, storing the entire code word which is assigned the full segment;

to write the stored remainder and the stored entire code word, which did not fit in the respective segments in steps b1), b2), into an area of the raster which is not written onto after steps b1) and b), pursuant to a second predetermined regulation, until all code words of the second set have been written into the raster.

21. Apparatus for reading a data stream of code words of variable lengths, in which the data stream comprises code words of a plurality of sets of code words, wherein, for the data stream, a raster is specified which comprises raster points, wherein two adjacent raster points define a segment, wherein the data stream comprises at least two segments, which apparatus comprises the following:

- a) a device for extracting the code words of the first set from the data stream, which is arranged so as to

5 for each segment, to jump to a raster point and to read a code word starting there;

in case the code word starting at a raster point is not finished at the end of the segment, to store the read section of the code word;

10

to determine the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream; and

15

- b) a device for extracting the code words of the second set of code words from the data stream which remains after step a), which is arranged so as

20

to jump, for each remaining segment, to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream and to read the code word starting there in order to obtain the code words of the second set,

25

in case a code word of the second set is not finished at the end of a corresponding segment, to store the read section of the code word of the second set;

30

to determine the remainder of the code word or the code word not present at a raster point on the basis of a second predetermined regulation which was used when producing the data stream.

35

Method and Apparatus for Producing a Data stream of Code Words of Variable Lengths and Method and Apparatus for Reading a Data stream of Code Words of Variable Lengths

5

Abstract

10 In a method for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, wherein a raster having segments is specified for the data stream, wherein two adjacent raster points define a segment, code words of the first sets are written into the data stream starting at raster points. Subsequently, code words of the second set are written into
15 the data stream pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned to a different segment. Entire code words or parts of code words which cannot be written according to their assignment, are stored and entered into the data stream in
20 further attempts, wherein the assignment by a predetermined regulation is changed from attempt to attempt. This procedure is analogously repeated for any further set which may be present. Thus, the ends of code words of the second set are decoupled from the starts of following code words
25 of the second set, since the corresponding code words of a set are written segment by segment, which results in a reduction of error propagation.

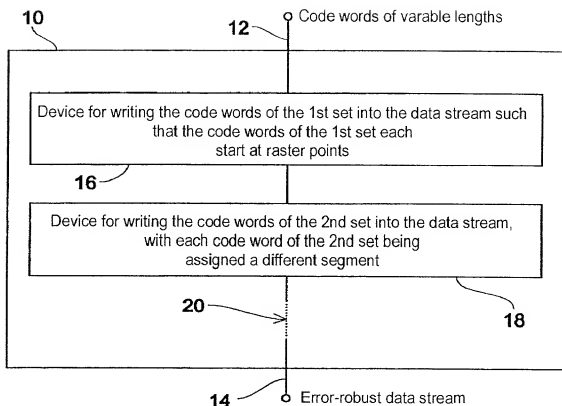


Fig. 1

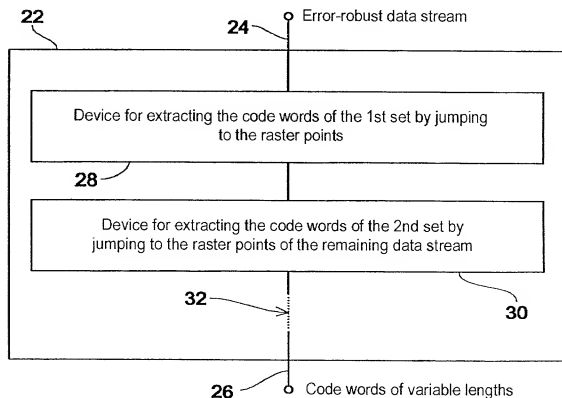
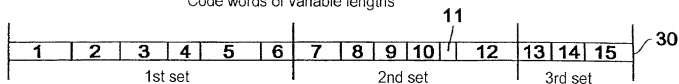
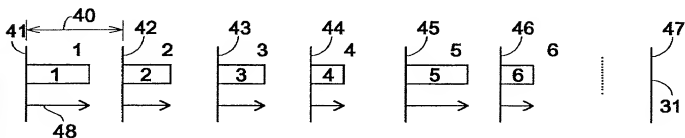


Fig. 2

Code words of variable lengths

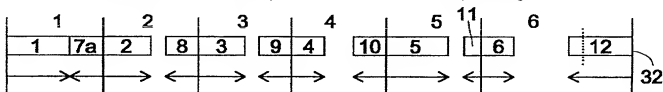


a) Writing the code words of the 1st set



b) Writing the code words of the second set

Attempt 1 (7 in 1, 8 in 2, 9 in 3, 10 in 4, 11 in 5, 12 in 6) : storing 7b



Attempt 2 (7 in 2) :

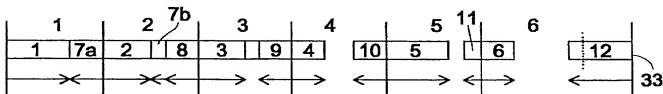
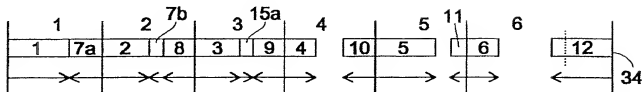


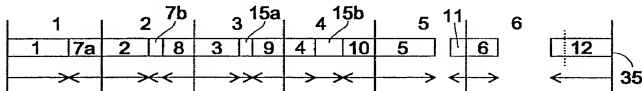
Fig. 3

c) Extracting the code words of the 3rd set

Attempt 1 (13 in 1, 14 in 2, 15 in 3) : storing 13, 14, 15b

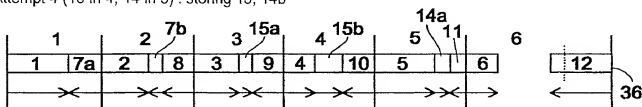


Attempt 2 (13 in 2, 14 in 3, 15 in 4) : storing 13, 14

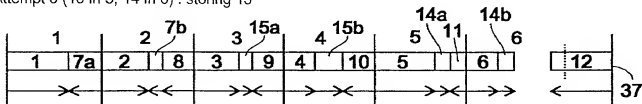


Attempt 3 (13 in 3, 14 in 4) : storing 13, 14

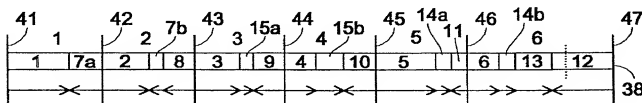
Attempt 4 (13 in 4, 14 in 5) : storing 13, 14b



Attempt 5 (13 in 5, 14 in 6) : storing 13

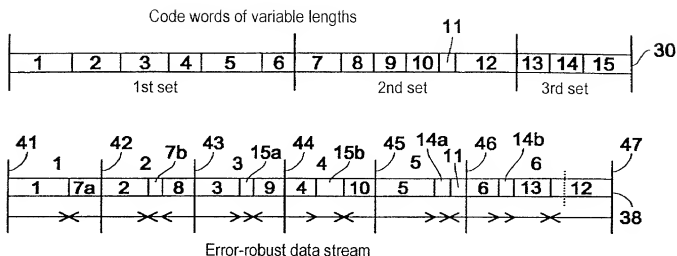


Attempt 6 (13 in 6)



Error-robust data stream

Fig. 3

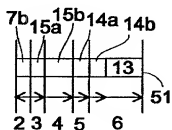


a) Extracting the code words of the first set



b) Extracting the code words of the second set

Attempt 1 (searching 7 in 1, 8 in 2, 9 in 3, 10 in 4, 11 in 5, 12 in 6)



Attempt 2 (searching 7 in 2)

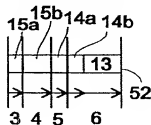
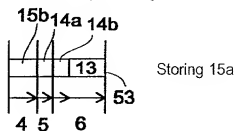


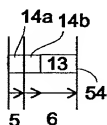
Fig. 4

c) Extracting the code words of the 3rd set

Attempt 1 (searching 13 in 1, 14 in 2, 15 in 3)

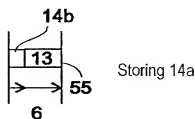


Attempt 2 (searching 13 in 2, 14 in 3, 15 in 4)



Attempt 3 (searching 13 in 3, 14 in 4)

Attempt 4 (searching 13 in 4, 14 in 5)



Attempt 5 (searching 13 in 5, 14 in 6)



Attempt 6 (searching 13 in 6)

Fig. 4

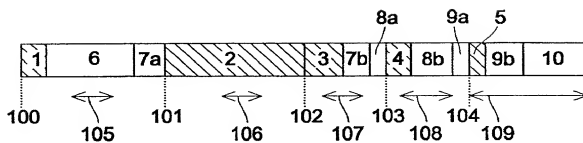
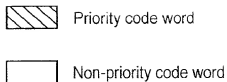


Fig. 5 (Prior art)

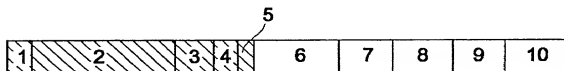


Fig. 6 (Prior art)

National Phase of PCT/EP00/00312 in U.S.A.

Title: Method and Apparatus for Producing a Data stream of
Code Words of Variable Lengths and Method and Apparatus for
Reading a Data stream of Code Words of Variable Lengths

Applicants: SPERSCHNEIDER; DIETZ; LAUBER; SCHUG

Translation of PCT Application PCT/EP00/00312
as originally filed

**Method and Apparatus for Producing a Data stream of Code
Words of Variable Lengths and Method and Apparatus for
Reading a Data stream of Code Words of Variable Lengths**

5

Description

10 The present invention relates to encoding with code words
of variable lengths and, in particular, to producing and
reading data streams with code words of variable lengths,
which are robust with regard to errors in transmission.

Modern audio encoding or decoding methods which work by the
MPEG layer 3 standard, for example, are capable of
15 compressing the data rate of audio signals, e.g. by a
factor 12, without noticeably degrading the quality
thereof. In order to achieve such a high data rate
reduction, an audio signal is sampled, whereby a sequence
of discrete-time samples is obtained. As is known in the
20 art, the sequence of discrete-time samples is windowed in
order to obtain windowed blocks of time samples. A block of
time-windowed samples is then transformed to the frequency
range by means of a filter bank, a modified discrete cosine
transform (MDCT) or other suitable device, in order to
25 obtain spectral values which, as a whole, represent the
audio signal, i.e. the time section determined by the block
of discrete-time samples, in the frequency range. Usually,
time blocks which overlap at 50% are produced and
transformed to the frequency range by means of a MDCT
30 whereby, due to the specific properties of the MDCT, 1024
discrete-time samples, for example, always lead to 1024
spectral values.

It is known that the receptivity of the human ear depends
35 on the momentary spectrum of the audio signal itself. This
dependency is covered in the so-called psycho-acoustic
model by means of which it has been possible for quite some
time to calculate masking thresholds depending on the

momentary spectrum. Masking means that a specific tone or a spectral component is hidden in case an adjacent spectral range, for example, has relatively high energy. This fact of masking is utilized in order to quantize as closely as possible the spectral values present after the transformation. The aim is therefore to avoid audible interferences in the re-decoded audio signal on the one hand and to use as few bits as possible on the other hand in order to encode or, in this case, to quantize the audio signal. The interferences introduced by quantization, i.e. quantization noise, are intended to be below the masking threshold and, therefore, to be inaudible. In accordance with known methods, a classification of the spectral values in so-called scale factor bands is carried out, which should correspond to the critical bands, i.e. frequency groups, of the human ear. Spectral values in a scale factor group are multiplied by a scale factor in order to carry out overall scaling of spectral values of a scale factor band. The scale factor bands scaled by the scale factor are then quantized, whereupon quantized spectral values are produced. It is understood that grouping in scale factor bands is not critical. However, it is used in the MPEG layer 3 standards or in the MPEG 2 AAC standard (AAC = advanced audio coding).

A very essential aspect of data reduction lies in entropy encoding of the quantized spectral values, which follows quantizing. Huffman encoding is usually used for entropy encoding. A Huffman coding is understood to mean a coding with a variable length, i.e. the length of the code word for a value to be encoded is dependent on the probability of occurrence thereof. Logically, the most probable character is assigned the shortest code, i.e. the shortest code word, so that very good redundancy reduction can be achieved by means of Huffman encoding. An example for a generally-known coding with a general length is the Morse code.

In the field of audio encoding, Huffman codes are used for encoding the quantized spectral values. A modern audio encoder, which works, for example, in accordance with the MPEG 2 AAC standard, uses different Huffman code tables for encoding the quantized spectral values, which Huffman code tables are assigned to the spectrum by certain criteria on a section-by-section basis. In this process, 2 or 4 spectral values are always encoded together in one code word.

One difference between the method in accordance with MPEG 2 AAC and the method in accordance with MPEG layer 3 is that different scale factor bands, i.e. different spectral values, are grouped into any number of spectral sections. With AAC, one spectral section includes at least four spectral values, but preferably more than four spectral values. The entire frequency range of the spectral values is therefore divided up into adjacent sections, with one section representing one frequency band such that all sections together cover the entire frequency range, which is superimposed by the spectral values after the transformation thereof.

As in the MPEG layer 3 method, one section is assigned to a so-called "Huffman table" from a plurality of such tables in order to achieve a maximum redundancy reduction. In the bit stream of the AAC method, which usually comprises 1024 spectral values, are now the Huffman code words for the spectral values in an ascending order of frequencies. The information on the table used in each frequency section is transferred in the side information. This situation is shown in Fig. 6.

Fig. 6 shows the exemplary case where the bit stream includes 10 Huffman code words. In case one code word is always formed from one spectral value, 10 spectral values may be encoded here. However, usually 2 or 4 spectral values are always jointly encoded by one code word, which

- is why Fig. 6 shows a part of the encoded bit stream which includes 20 or 40 spectral values. In the case where each Huffman code word includes 2 spectral values, the code word designated by No. 1 represents the first two spectral values, with the length of code word No. 1 being relatively short, which means that the values of the first two spectral coefficients, i.e. of the two smallest frequency coefficients, occur relatively frequently. The code word bearing the No. 2, however, has a relatively long length, which means that the amounts of the 3rd and 4th spectral coefficients in the encoded audio signal are relatively rare, which is why they are encoded with a relatively large amount of bits. Further, it is apparent from Fig. 6 that the code words with the numbers 3, 4 and 5, which represent the spectral coefficients 5 and 6 or 7 and 8 or 9 and 10, also occur relatively frequently, since the length of the individual code words is relatively small. The same applies to the code words bearing the numbers 6 to 10.
- As has already been mentioned, it is clearly apparent from Fig. 6 that the Huffman code words for the encoded spectral values are arranged in the bit stream in a linearly ascending manner with regard to the frequency in case a bit stream which is produced by a known encoding apparatus is considered.

One major drawback with regard to Huffman codes, in the case of faulty channels, is error propagation. It may be assumed, for example, that code word No. 2 in Fig. 6 is interfered with. There is a certain, not low, probability that the length of this wrong code word No. 2 is also modified. It therefore is different from the correct length. In case, in the example of Fig. 6, code word No. 2 has been modified in its length due to an interference, it is no longer possible for an encoder to determine the starts of the code words 3 to 10, i.e. of almost the entire audio signal represented. This means that all other code words following the code word which has been interfered

with can no longer be correctly encoded, since it is not known where these code words start, and since an incorrect starting point was selected due to the error.

- 5 As a solution to the problem of error propagation, European Patent No. 0 612 156 proposes that a part of the code words of variable lengths be arranged in a raster and that the remaining code words be distributed in the remaining gaps, so that the start of a code word which is arranged at a raster point can be more easily found without full decoding or in the case of an incorrect transmission.
- 10

It is true that the known method provides some remedy for error propagation by means of rearranging the code words.

- 15 For some code words, a fixed location in the bit stream is agreed upon, whereas the remaining gaps are available for the remaining code words. This does not cost any additional bits, but prevents, in the case of an error, error propagation among the rearranged code words.

- 20 However, one decisive parameter for the efficiency of the known method lies in the manner in which the raster is determined in practical application, i.e. how many raster points must be used, which raster spacing the raster points must have, etc. Except for the general recommendation to use a raster for curbing error propagation, European Patent No. 0 612 156 does not give any more detailed information about how the raster should be designed efficiently in order to enable error-robust encoding on the one hand and efficient encoding on the other hand.
- 25
- 30

- German Patent Application 19 747 119.6-31, which was published after the filing date of the present application, proposes that not just any code words be located at raster points, but that code words which are significant from a psycho-acoustic point of view, i.e. code words for spectral values which make a significant contribution to the audio signal, be located at raster points. A data stream with
- 35

code words of variable lengths, such as is produced by such an encoder, is shown in Fig. 5. As in Fig. 6, the data stream also includes 10 code words, with the priority code words being shaded. The first priority code word is located such as to start at a first raster point 100, the second priority code word is located such as to start at a second raster point 101, the third priority code word is located such as to start at a third raster point 102, the fourth priority code word is located such as to start at a fourth raster point 103 and the fifth priority code word is located such as to start at a fifth raster point 104. A first segment 105 is defined by the raster points 100 and 101. Similarly, a second 106, a third 107, a fourth 108 and a final segment 109 are defined. It is shown in Fig. 5 that the first two segments 105 and 106 have a different length from the two segments 107 and 108 and yet a different length from the final segment 109. Non-priority code words 6, 7, 8, 9 and 10 are then entered in the data stream following the priority code words such that the latter is filled up, so to speak. As is shown in Fig. 5, in the post-published method, the non-priority code words are consecutively inserted in the raster after the priority code words have been written. Specifically, the non-priority code word No. 6 is entered following the non-priority code word 1. The space still remaining in the segment 105 is filled up with the following non-priority code word 7, with the remainder of the non-priority code word 7, i.e. 7b, being written in the next free space, i.e. in the segment 107, directly following the priority code word 3. The same procedure is followed for the non-priority code words 8 to 10.

The advantage of the post-published method illustrated in Fig. 5 is that the priority code words 1 to 5 are protected against error propagation, since their starting points coincide with raster points and are therefore known.

In case, for example, the priority code word 2 has been damaged in transmission, it is very likely in the prior art shown in Fig. 6 that a decoder will not be able to decode any of the remaining code words 3 to 10 correctly. In the method shown in Fig. 5, however, the next code word, i.e. priority code word 3, starts at the raster point 102 such that the decoder will, at any rate, find the correct start of code word 3. Therefore, in the method shown in Fig. 5, no sequence error whatsoever will occur, and only priority code word No. 2 will be damaged. Consequently, this method provides effective protection for priority code words which are located at raster points.

However, there is no effective protection for non-priority code words. Referring to Fig. 5, damaging the non-priority code word No. 6 such that the decoder assumes, as an incorrect code word No. 6, a code word which is one bit shorter, will result in the fact that it is also no longer possible to correctly decode code word No. 7, since the last bit of the correct code word No. 6 is interpreted as being the start of the next code word No. 7. Therefore, an error in code word No. 6 will lead to the fact that, at a very high probability, it will no longer be possible, due to a sequence error, to correctly decode any code words following it even in case they have not been affected by a transmission error.

It is the object of the present invention to find a concept for writing and reading a data stream of code words of variable lengths which provides particular protection against sequence errors due to a non-ideal transmission of the data stream.

This object is achieved by a method for producing a data stream in accordance with claim 1, by a method for reading a data stream in accordance with claim 15, by an apparatus for producing a data stream in accordance with claim 20 and

an apparatus for reading a data stream in accordance with claim 21.

The present invention is based on the realization that a data stream having code words of variable lengths must be configured such that successive code words are decoupled in the data stream as soon as possible such that a decoder will not produce a very high number of sequence errors due to a transmission error. For this purpose, code words of variable lengths to be transmitted are divided up into a plurality of sets. The first set may include priority code words, whereas the second set may include non-priority code words. In order to also protect non-priority code words from transmission errors, they are not simply written into the unoccupied raster, as in the prior art, but are distributed in the individual segments. After a fixed assignment which is known to the recipient, non-priority code words are assigned to the segments such that each non-priority code word, i.e. each code word from the second set, is assigned to a different segment of the data stream. In order for this to work, each set may only have so many code words as there are segments for the data stream. Therefore, the first set of code words is written into the raster such that each code word of the first set starts at a raster point. An attempt is then made to write each code word of the second set into the data stream such that each code word of the second set is assigned to a different segment. Due to this assignment, i.e. each code word of the second set is written into a different segment, a decoder will no longer simply successively decode the code words of the second set but go to the corresponding segment for each code word of the second set in the raster in order to extract the corresponding code word of the second set from this segment.

In case, already after the code word of the first set has been written into a segment, this segment is so full that there is only partially room for the code word of the

second set assigned to this segment or that there is no more room at all, that part of the code word of the second set for which there is still room is written into the assigned segment, the remainder being stored. In case there is no room at all for the code word, the entire code word is stored until the assignment of each code word of the second set has been attempted. It is only then that a second attempt will be made to write the stored parts or the stored complete code words of the second set, in accordance with a predetermined regulation, to segment sections which are still unoccupied.

In case the raster is configured such that code words of the first set exist which are longer than the segment length, the same method may be applied as early as for writing the first set of code words.

Once a decoder has extracted the code words of the first set which start at raster points from the data stream, it proceeds to extract the code words of the second set. In case a decoder finds only part of a code word of the second set of code words, this part will be stored and the process is continued by looking for the next code word of the second set in a different segment. Only after all segments have been searched in such a first attempt, the missing part of a code word of the second set will be determined in a second or a further attempt, or a code word of the second set, whose assigned segment had already been occupied by the code word of the first set, is determined.

Referring to Fig. 5, an error in code word No. 6 will therefore no longer lead to an error in code word No. 7, since code word No. 7 would start in a different segment from segment 105, and code word No. 6 would be followed by an entirely different code word not adjacent to it.

A simple example may be used for further illustration. It is based on the assumption that two code words in the first

set and two code words in the second set, that is to say, a total of four code words of variable lengths, are present. It is further assumed, for comparison with the prior art, that the code words 1 and 3 together are sufficiently long to fit in the first segment, and that code words 2 and 4 together are sufficiently long to completely fit in the second segment. In this case, an apparatus in accordance with the prior art would write the same data stream as an apparatus in accordance with the present invention. The apparatus in accordance with the prior art would firstly write the priority code words 1 and 2 to the two raster points and thereafter write code word No. 3 following code word No. 1 and write code word No. 4 to the next vacant space in the raster, that is to say following code word No. 2. Purely coincidentally, code word No. 4 is therefore no longer (at least partially) in the first segment, but entirely in the second segment.

An apparatus in accordance with the invention will initially write the code words of the first set to the corresponding raster points and will then write the first code word of the second set into the first segment and the code word of the second set into the second segment, irrespective of whether or not there is still room in the first segment. The apparatus in accordance with the invention will therefore attempt, at any rate, to write each code word of the second set into a different segment.

Even though both data streams coincidentally look identical, a significant difference will result for the recipient who will extract the code words of variable lengths from the data stream in order to place them in their order which is required for a decoder. In the prior art, an apparatus will, for extracting, initially read code word No. 1 at the first raster point and code word No. 2 at the second raster point, in order to obtain the code words of the first set. Thereafter, an apparatus in accordance with the prior art will go to the start of the remaining

data stream and read code word No. 3 there, and following therein, read code word No. 4.

5 An apparatus in accordance with the present invention will, after reading code words 1 and 2 of the first set, also go to the start of the remaining data stream and read code word No. 3 there. However, the apparatus in accordance with the invention will thereafter jump to the next segment in order to read the start of the fourth code word, i.e. the
10 second code word of the second set.

It shall now be assumed, in the following, that code word No. 3, i.e. the first code word of the second set, which was written behind the first code word of the first set in
15 the fictitious data stream, has been interfered with such that a decoder will interpret the same as a shorter code word than it actually is. In this case the known apparatus for reading the data stream will read code word No. 3 and will, due to the transmission error, stop too soon and will
20 judge the remaining bit or bits, which actually belonged to code word No. 3, to be the start of code word No. 4. The apparatus in accordance with the invention, however, will jump to the next segment after code word No. 3 has been terminated, and will therefore correctly determine the
25 start of code word No. 4.

Using this simple example, the essential advantage of the present invention can clearly be seen in that it prevents, due to the division of the code words of the second set
30 into the individual segments, sequence errors also in code words of the second set, which may be, for example, non-priority code words. As has been described with reference to No. 5, in the prior art, however, sequence errors occur even though the data streams of code words of variable
35 lengths which are produced by the prior art and by the present invention, may be identical by coincidence.

Preferred embodiments of the present invention will be explained in detail below with reference to the attached drawings, in which:

5 Fig. 1 shows an inventive apparatus for producing a data stream of code words of variable length;

Fig. 2 shows an inventive apparatus for reading a data stream having code words of variable lengths;

10

Fig. 3 shows a procedural diagram of the inventive method by means of three sets of code words of variable lengths;

15 Fig. 4 shows a procedural diagram for illustrating the inventive method for reading a data stream which has been produced in accordance with Fig. 3;

20 Fig. 5 shows a data stream which is produced by a known apparatus and in which the priority code words are exposed to error propagation;

Fig. 6 shows a data stream in which sorting by priority code words and non-priority code words has been carried out.

25

Before Fig. 1 will be described in more detail, it should be noted that encoding with code words of variable lengths is also referred to as entropy encoding in the art. One representative example of entropy encoding is the so-called Huffman encoding. In principle, in Huffman encoding, the information symbols to be encoded are statistically examined in order to determine shorter code words for the information symbols occurring more frequently than for information symbols occurring less frequently. In a complete Huffman code, all code words are terminated ends or branches of a code tree. For example, a Huffman decoder serially reads in a data stream with Huffman code words and, put graphically, jumps to a branching of the specified

09514114.121101

code tree with each bit that it reads in additionally until, after a certain number of jumps, which corresponds to the number of bits of the code word, i.e. to the length of the code word, it arrives at a branch end which does not have any further branching and is therefore a code word. The decoder then knows that a new code word starts with the next bit. This process is repeated as often as required until the data stream has been completely read in. With each time that the Huffman encoder jumps back to the starting point, i.e. to the root of the tree, a code word is present at its point of origin. Since the lengths of the code words are implicitly specified by the code words themselves or by the code tree known in the encoder and in the decoder, it can be seen that an interference in the data stream which leads to a reversal of a bit misleads the decoder in the code tree, so to speak such that it ends up with a different code word, i.e. an incorrect code word, which is very likely to have a different length from the correct code word. In this case, the decoder will, once it has arrived at the incorrect code word, jump back and, due to the bits then following, again move from one branching point to another in the code tree. However, it is not possible for the decoder to avoid a sequence error, unless it coincidentally ends up on the "correct track".

Therefore, error protection, as is provided by the present invention, must be performed in order to ensure error-robust transmission. The apparatus for producing a data stream of code words of variable lengths in accordance with the present invention may therefore act as a sending or output stage of a Huffman encoder, as it were, whereas the apparatus for reading a data stream of code words of variable lengths may act as a receiving or input stage of a Huffman encoder. It can be seen from this that the present invention is not only applicable to Huffman encoders, but to any code having code words of variable lengths which is susceptible to sequence errors.

Fig. 1 shows an inventive apparatus 10 for producing a data stream of code words of variable lengths, which apparatus has an input 12 and an output 14. At the input 12, the code words of variable lengths are present, whereas at the output 14, the error-robust data stream is output. The code words of variable lengths at the input 12 of the apparatus 10 have preferably already been pre-sorted such that priority code words are in a first set, less important code words are in a second set and even less important code words are in a third set, etc.

The code words of variable lengths are input into a device 16 for writing the code words of the first set into the data stream such that the code words of the first set each start at raster points.

Moreover, the code words of variable lengths are input into a device 18 for writing the code words of the second set into the data stream, a different segment being assigned to each code word of the second set. The data stream between the two devices 16 and 18 is therefore a data stream in which merely all code words of the first set are entered at raster points. In case the code words of variable lengths consist of only two sets of code words, then the error-robust data stream is already present at the output of the device 18. In case there are more than two sets of code words of variable lengths, there are further devices for writing the code words of the corresponding set into the data stream, which is symbolically illustrated by reference numeral 20.

Fig. 2 shows an inventive apparatus 22 for reading the error-robust data stream output at the output 14 (Fig. 1), which has an input 24 and an output 26. At the input 24, the error-robust data stream is input in order to output, at the output 26, code words of variable lengths whose order corresponds to the order that was present at the input 12 (Fig. 1). The apparatus 22 for reading the data

stream includes a device 28 for extracting the code words of the first set by jumping to the raster points, a downstream device 30 for extracting the code words of the second set by jumping to the raster points of the remaining data stream and, if need be, further devices 32 for extracting code words in accordance with the further sets, in case any such sets are present.

Before the method carried out by the apparatus 10 (Fig. 1) is explained in detail on the basis of Fig. 3 by means of an example, a summary of the method will first be given. The code words available are divided up into a plurality of sets. Each set, except for the last one, includes as many code words as there are segments available. In the best case, one set contains as many code words as there are segments available. However, one set may also contain more or fewer code words, as it will almost necessarily be the case for the last set, since a predetermined number of code words of variable lengths must be assumed. In case M segments are present and in case a set has N code words, the number of the code words which are written to raster points corresponds to the minimum of M and N, whereas the number of attempts to accommodate the N code words in the raster in accordance with the invention corresponds to the maximum of M and N.

Preferably, the first set contains the most important code words, i.e. the priority code words, which represent information symbols which are more significant in comparison with the other information symbols. The following sets contain fewer important code words in the order as is provided by a pre-sorting algorithm, which preferably also carries out the classification into priority code words and non-priority code words. These sets are successively written by the device 10. Writing one set should require several attempts. In the first attempt, the first code word of the current set is written into the first segment, and so on, until the last code word of the

current set is written into the last segment. Of course, one may start with the second, with the third or with any other segment and then write in every segment in accordance with a certain specified regulation.

5

In case a code word does not fit into a segment, the remaining part of this code word is stored. In a second attempt, the remaining part of the first code word, in case it exists, is preferably written into the second segment, etc., until the remaining part of the last code word is preferably written into the first segment. Such an algorithm can also be referred to as modulo shift. Obviously, the predetermined regulation as to whether a remainder of a code word is to be written into the following segment, into the next-but-one segment, etc., in the next run, i.e. in the next attempt, is arbitrary.

Once a set has been completely written, the writing of the next set starts. In order to prevent propagation errors even more in accordance with a preferred embodiment of the present invention, the direction of writing within the segment is changed from set to set. For example, code words of the first set are written from left to right, whereas code words of the second set are written from right to left, etc. Therefore, with the present invention, the second side of a raster point is utilized for absolute error protection, as it were, also in accordance with this preferred embodiment.

The use of the system briefly summarized above can very strongly reduce the number of error propagation possibilities for a certain code word. As the sets are written successively and as each code word of a set is assigned to a certain segment and is also written into the segment, in case there is still room in the segment, no error propagation from one code word within one set to the next code word within the set is possible, since a decoder always jumps from segment to segment when decoding and does

not assume the start of a code word to be where the preceding one ended, as is the case in the prior art. In case a code word is only partially written into this segment, since the space available is not sufficient for
5 completely entering the code word, the possibility of error propagation is at least reduced.

In accordance with a preferred embodiment of the present invention, the segment width is selected such that the
10 priority code words completely fit in the segments. Therefore, writing the first set requires only one attempt. However, this is arbitrary. Since, generally, a high number of raster points for one data stream, i.e. a segment length as small as possible, is aimed at, the case that also code
15 words of the first set are longer than the segment length may also arise. However, this case would be treated like writing the second set, i.e. also in accordance with a predetermined regulation which must be known to the encoder as well as to the decoder.

20 Fig. 3 illustrates, by means of an example, the inventive method for writing code words of variable lengths. In the example, there are 15 code words of variable lengths 30 which are preferably divided up into a first set having 6 code words 1 to 6, into a second set also having 6 code
25 words 7 to 12 and into a third set having the remaining 3 code words 13 to 15. As is shown in Fig. 3, code words 30 have variable lengths.

30 In accordance with a preferred embodiment of the present invention, the segment length, i.e. the length of the segment, is longer than the length of the longest code word of the first set. The code words of the first set are arranged at raster points 41 to 46, wherein, for the last
35 segment No. 6, a raster point is indicated by a dotted line, which raster point is not used, however, since the end 47 of the data stream can also be considered as a raster point as it were and since the raster point

indicated by a dotted line is thus superfluous. The first segment No. 6 is therefore longer than the other segments, which is completely irrelevant for the present invention, however. Generally speaking, the segments may have any

5 lengths, which change within the data stream, it being understood that the current length of a segment must be known to the decoder so that the inventive advantages can be utilized.

- 10 Firstly, the code words of the first set are written into the data stream in a step a), which results in a fragmentary data stream indicated by 31, in which the code words of the first set are written into a respective segment from left to right, as is indicated by arrows 48
- 15 which are to symbolize the direction of writing in the entire Fig. 3. Since the segment length is selected to be longer than the longest length of a code word of the first set, only one single attempt is needed for step a). In case the segments are shorter, more attempts are required
- 20 accordingly.

- Now the code words of the second set are written into the data stream 31 in a step b). In order to achieve high error robustness, the code words of the second set are preferably
- 25 not written from left to right like the code words of the first set, but are written from right to left, starting from the second raster point, respectively, e.g. the raster point 42 for the first segment, as is indicated by the respective arrow of writing direction. The writing of the
- 30 code words of the second set takes place in accordance with a predetermined assignment rule which says, in the example selected, that the first code word of the second set is to be written in the same segment as the first code word of the first set, however always on the condition that there
- 35 is still room in this segment. The data stream 32 resulting from the first attempt shows that in the first segment there was only so much room for writing the starting section of code word No. 7.

In contrast to the prior art, where the second part of code word No. 7 would have been written into the second segment, the second half of code word No. 7, i.e. 7 b), is stored
5 for writing it into the data stream in a second attempt in accordance with a predetermined regulation, i.e. in accordance with an regulation which must also be known to the decoder. Fig. 3 clearly shows that in the second segment, there was still enough room between code word Nos.
10 2 and 8 for the final section of code word No. 7 to be entered. In case there had not been enough room, the third section of the code word would have been entered into segment No. 3. Thus, in Fig. 3, the predetermined regulation for entering code word No. 7 into the data
15 stream consists in proceeding by one segment in each case. Of course, one may also proceed by two segments or by three or more, such that, as a consequence, the second segment 7 b) could then be written, instead of the second segment, into the third, into the fifth in the next attempt, etc.
20 The order of segments which is used to accommodate the second part of section 7 somewhere is arbitrary. However, it must be transparent to the decoder so that the re-sorted data stream can be re-read.

25 The code words of the third set 13 to 15 are now to be entered into the resulting data stream 33, which is also still fragmentary. By analogy with step b), this is done preferably by the same assignment rule such that the first code word of the third set is assigned to the first
30 segment, that the second code word of the third set is assigned to the second segment, that the third code word of the third set is assigned to the third segment, etc. This assignment rule is entirely arbitrary for the third set and may also be different from the assignment rule for the
35 second set, with each code word of a set being assigned to a different segment in accordance with the invention.

The first attempt in step c) was successful only in that the first section of code word No. 15 was entered, resulting in a fragmentary data stream 34. Code words 13, 14 and the second section of code word 15, i.e. 15 b) are stored for being accommodated in the second, third, fourth, fifth and sixth attempts, wherein the second section 15b could be accommodated in the fourth segment in the second attempt (data stream 35), wherein nothing could be accommodated in the third attempt, wherein the starting section of code word 14 could be accommodated in the fourth attempt (data stream 36), wherein the final section of code word 14, i.e. 14b could be accommodated in the fifth attempt (data stream 37) and wherein, finally, the first code word of the third set could be entered in the sixth segment in the sixth and final attempt, which results in the error-robust data stream 38 for the example illustrated here. The method described using Fig. 3 ensures that the length of the error-robust data stream exactly corresponds to the sum of the lengths of the code words of variable lengths, which is self-evident for the purposes of entropy encoding for data reduction. However, the present invention is not limited to the error-robust data stream having the minimal length, since error robustness is not affected by any filler bits that may be present.

When regarding the robust data stream shown in Fig. 3, it can be seen that the start of code word No. 8, i.e. raster point 43, is entirely independent of the end of code word No. 7. Moreover, the start of code word No. 9, i.e. raster point 44, is entirely independent of the end of code word No. 8. Additionally, it should be noted that due to the opposite writing order, a data error in code word No. 1 in the first segment, for example, which leads to the fact that the incorrect code word is one bit shorter than the correct code word No. 1 due to the data error, does not lead to a destruction of the starting section of code word No. 7a, since the latter was written from right to left instead of from left to right. In case it had been written

- from left to right, a decoder would take the remaining bit from the initially correct code word No. 1 as the starting bit of code word No. 7, which would result in a sequence error from 1 to 7. However, this sequence error would not propagate to 8, since code word No. 8, again, is entirely independent of code word No. 7, since the writing order was chosen to be from right to left. In case the writing order of code word No. 8 is equal to the writing order of the code words of the first set, the error would not propagate from 7 to 8 either, since code word No. 8 would be written adjacent to code word No. 2 before the second part 7b due to the assignment rule and is, therefore, not influenced by an incorrect section 7b.
- By means of an appropriate example, Fig. 4 shows the operation of the apparatus for reading the error-robust data stream 38. Initially, the code words of the first set are extracted from the error-robust data stream in step a). For this purpose, the inventive apparatus, which may be coupled to a Huffman decoder, reads the code word of the first set starting from the first raster point 41, reads code word No. 2 of the first set starting from the second raster point 42, etc., until all code words 1 to 6 of the first set have been read in. It is self-evident that the apparatus for reading the data stream selects the same direction as has been used by the apparatus for producing.

- Subsequently, the code words of the second set are extracted from the remaining data stream 50 in step b). Here, the decoder jumps to the second raster point 42 of the first segment and obtains the starting section of code word 7 of the second set, whereupon it does not read in the second section 7b, but 7a is first stored in order to then read in the second code word of the second set starting from the second raster point of the second segment, etc. The result is a residual data stream 51 in which the first segment has been completely emptied. Since the decoder does not now read the code word 7 continuously, but always reads

segment by segment on the basis of the assignment rule used for the apparatus for producing the data stream, the error robustness which has already been described and which strongly reduces propagation of sequence errors is ensured.

5

In a second attempt for extracting the code words of the second set, the second part of code word 7b is now read in the second segment in accordance with the existing writing direction, whereupon only code words of the third set

- 10 remain in the resulting data stream 52. (The second segment is now also empty.) These are extracted in step c), wherein the starting section of code word 15 has been initially determined in a first attempt, which is not stored however, since code word 15 has not been found complete in the third segment. The third segment is now also empty, however, the raster points still exist in order for the decoder to be able to orient itself by them. In a second attempt, code word 15 can be found complete. However, the search for code word 14 in segment 3 and for code word 15 in segment 14 remained without success, which can be seen by the data stream 54. Nevertheless, in the fourth attempt, the search for code word 14 in the fifth segment lead to a positive result. However, code word 14 was not complete, which is why the starting section 14a was stored in order to examine the remaining data stream 55 in a fifth attempt and to fully read in, in a final sixth attempt, data stream 56, which now only consists of the sixth segment and of code word 13.

- 30 Even though in the previous example merely a division of code words into a starting section and a final section was illustrated by way of example, any type of division is possible in principle. Error-robust decoding will be ensured as long as the decoder observes the assignment of code words of the second set or of the third set and of further sets to different segments, respectively. Moreover, it is obvious that the sorting of the final sections of code words into the data stream is arbitrary as long as the
- 35

decoder or the read-in circuit upstream of the decoder knows exactly which predetermined regulation has been carried out in the encoder.

(1)
(2)
(3)
(4)
(5)
(6)
(7)
(8)
(9)
(10)
(11)
(12)
(13)
(14)
(15)
(16)
(17)
(18)
(19)
(20)
(21)
(22)
(23)
(24)
(25)
(26)
(27)
(28)
(29)
(30)
(31)
(32)
(33)
(34)
(35)
(36)
(37)
(38)
(39)
(40)
(41)
(42)
(43)
(44)
(45)
(46)
(47)
(48)
(49)
(50)
(51)
(52)
(53)
(54)
(55)
(56)
(57)
(58)
(59)
(60)
(61)
(62)
(63)
(64)
(65)
(66)
(67)
(68)
(69)
(70)
(71)
(72)
(73)
(74)
(75)
(76)
(77)
(78)
(79)
(80)
(81)
(82)
(83)
(84)
(85)
(86)
(87)
(88)
(89)
(90)
(91)
(92)
(93)
(94)
(95)
(96)
(97)
(98)
(99)
(100)

Claims:

1. Method for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, a raster having raster points existing for the data stream, two adjacent raster points (41, 42) defining one segment (40), and the raster comprising a plurality of segments, the method including the following steps:
 - a1) writing the code words (1-6) of the first set such that starts of the code words are at raster points of different segments;
 - a2) in case a code word is longer than a segment, writing the remainder of the code words into an area of the raster which is not written onto after step a1), pursuant to a first predetermined regulation, until all code words of the first set have been written into the raster;
 - b1) in case the respective code word fits in the segment, writing each code word of the second set into a segment which is assigned to each individual code word pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned a different segment;
 - b2) in case only a part of the respective code word fits in the assigned segment or in case the assigned segment is full, writing the part (7a) of the respective code word (7) of the second set into the assigned segment (1) and storing the remainder of the code word (7b) or the entire code word (13) which is assigned the full segment;

b3) writing the stored remainder (7b) and the stored entire code word (13), which did not fit in the respective segments in steps b1), b2), into an area of the raster which is not written onto after steps b1) and b2), pursuant to a second predetermined regulation, until all code words of the second set have been written into the raster.

2. Method as claimed in claim 1, in which the code words of the first set are present in an order, wherein these are written into adjacent segments in accordance with their order.

3. Method as claimed in claim 1 or 2, in which the first predetermined regulation in step a2) is as follows:

i) writing at least a part of the remainder of a code word of the first set into the segment following the segment in which the starting section of the code word is present, in case there is room, in the segment, for at least part of the remainder; and

ii) conducting step (i) for remainders of all further code words of the first set in case such code words are present; and

iii) conducting steps (i), (ii), wherein one proceeds by one segment for each remainder until all code words of the first set have been written into the data stream (31).

4. Method as claimed by any of the preceding claims, in which the code words of the second set are present in an order and the predetermined assignment rule assigns the first code word of the second set to that segment in which the start of the first code word of the first set is present, assigns the second code word of the

second set to that segment in which the start of the second code word of the first set is present and, in case existent, assigns each further code word of the first set that segment in which the start of the corresponding code word of the first set is present.

- 5
6. Method as claimed by any of the preceding claims, in which the second predetermined regulation is equal to the first predetermined regulation.

- 10
6. Method as claimed by any of the preceding claims, in which, pursuant to the first or second predetermined regulation, a code word of the corresponding set which does not entirely fit into the assigned segment falls in three or more parts in case there is only so much room in the segments following the assigned segment that, again, a remainder remains.

- 15
7. Method as claimed by any of the preceding claims, in which the raster points are arranged equally spaced apart, whereby equally long segments, except for the final segment, result, wherein the equally long segments are longer than or as long as the longest code word of the first set, such that each code word of the first set fits in the corresponding segment.

- 20
8. Method as claimed by any of the preceding claims, in which the code words of the first set are written in a first writing direction starting from the first raster points of the segments, respectively, and in which the code words of the second set are written in a second writing direction opposite to the first writing direction, starting from the second raster points of the segments, respectively.

- 30
9. Method as claimed in claim 8, in which a third set of code words is present, wherein the third code words are, again, written into the raster in the first
- 35

writing direction after all code words of the second set have been written into the raster.

10. Method as claimed by any of the preceding claims, in
5 which the code words are Huffman code words.

11. Method as claimed by any of the preceding claims, in
which the code words represent information symbols and
in which code words of the first set represent more
10 significant information symbols than code words of the
second set or of further sets.

12. Method as claimed in claim 11, in which the
information symbols are spectral values of an audio
signal and code words of the first set are spectral
values significant from a psycho-acoustic point of
view, which are to be protected from any error
propagation due to a transmission error in the data
stream.
15

13. Method as claimed by one of the preceding claims, in
which the length of the data stream produced is equal
to the sum of the lengths of the code words of
variable lengths.
20

14. Method as claimed by any of the preceding claims, in
which more than two sets of code words are present and
which further comprises the following step:
25

conducting steps b1), b2) and b3) for the code words
of the further sets of code words, wherein the second
predetermined regulation corresponds to the second
predetermined regulation of step b2) and wherein the
predetermined assignment rule corresponds to the
predetermined assignment rule of step b1).
30
35

15. Method for reading a data stream of code words of
variable lengths, wherein the data stream comprises

code words of a plurality of sets of code words, wherein a raster is specified for the data stream (38), which comprises raster points (41, 42) in which two adjacent raster points (41, 42) define a segment (40), wherein the data stream comprises at least two segments, which method includes the following steps:

a) extracting the code words of the first set from the data stream (38) by the following individual steps:

a1) for each segment, jumping to a raster point and reading a code word starting there;

a2) in case the code word which starts at a raster point is not finished at the end of the segment, storing the read section of the code word, and

a3) determining the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream;

b) extracting the code words of the second set of code words from the data stream (50) remaining after step (a) by the following individual steps:

b1) for each remaining segment, jumping to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream, and reading the code word starting there, in order to obtain the code words of the second set;

b2) in case a code word of the second set is not finished at the end of a corresponding segment, storing the read section of the code word of the second set;

b3) determining the remainder of the code word or the code word not present at a raster point, on the basis of a second predetermined regulation which was used when producing the data stream.

16. Method as claimed in claim 15, in which the data stream comprises more than two sets of code words, which method further includes the following step:

extracting the code words of the third set by repeating steps b1), b2) and b3), wherein the second predetermined regulation is equal to the second predetermined regulation of step b3) and wherein the assignment rule is equal to the assignment rule of step b1).

17. Method as claimed in claim 15 or 16, in which the assignment rule, which was used when producing the data stream, assigns a first code word of the second set to a segment in which the first code word of the first set starts, wherein, in step b1), one jumps to the first raster point (41) in order to obtain the first code word of the second set, one jumps to the second raster point (42) in order to obtain the second code word of the second set, etc., wherein, in case no or only part of a code word of the second set starts at the first raster point (41), one initially reads starting from all raster points, before a missing code word or a missing part of a code word is determined on the basis of the second predetermined regulation,

18. Method as claimed by one of claims 15 to 17, in which the first predetermined regulation in step a3) is as follows:

for each stored section of a read code word, jumping to the next raster point in the data stream which

remains after step a1) in order to determine the remainder of the code word;

5 in case a code word can be read to the end, connecting the code word which has been read to the end with the stored section in order to obtain the code word of the first set completely, otherwise storing a section which may have been read and repeating the step of jumping to the next raster point, until all code words of the first set are present.

19. Method as claimed by any of the preceding claims, in which there are as many code words in the first set of code words as there are segments in the data stream, and in which the number of code words in the other set or sets is equal to or smaller than the number of code words in the first set, such that all code words of the first set are written to raster points.

20. Apparatus (10) for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, in which a raster having raster points is present for the data stream, in which two adjacent raster points (41, 42) define a segment (40), the raster comprises a plurality of segments, the apparatus comprising:

a) a device (16) for writing the code words (1-6) of the first set such that starts of code words are present at raster points of different segments, wherein the device (16) is arranged so as

in case a code word is longer than a segment, to write the remainder of the code word into an area of the raster which is not written onto after step a1), pursuant to a first predetermined regulation, until all code words of the first set have been written into the raster;

b) a device (18) for writing each code word of the second set into a segment which is assigned to each individual code word pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned a different segment, in case the respective code word fits in the segment, wherein the device (18) is arranged so as

in case only part of the respective code word fits in the assigned segment or in case the assigned segment is full, to write the part (7a) of the respective code word (7) of the second set into the assigned segment (1) and to store the remainder of the code word (7b) or the entire code word (13) which is assigned the full segment;

to write the stored remainder (7b) and the stored entire code word (13), which did not fit in the respective segments in steps b1), b2), into an area of the raster which is not written onto after steps b1) and b), pursuant to a second predetermined regulation, until all code words of the second set have been written into the raster.

21. Apparatus (22) for reading a data stream of code words of variable lengths, in which the data stream comprises code words of a plurality of sets of code words, wherein, for the data stream (38), a raster is specified which comprises raster points (41, 42), wherein two adjacent raster points (41, 42) define a segment (40), wherein the data stream comprises at least two segments, which apparatus comprises the following:

- a) a device (28) for extracting the code words of the first set from the data stream (38), which is arranged so as to

5 for each segment, to jump to a raster point and to read a code word starting there;

10 in case the code word starting at a raster point is not finished at the end of the segment, to store the read section of the code word;

15 to determine the remainder of the code word on the basis of a first predetermined regulation which was used when producing the data stream; and

- 20 b) a device (30) for extracting the code words of the second set of code words from the data stream (50) which remains after step a), which is arranged so as

25 to jump, for each remaining segment, to a raster point of the segment on the basis of a predetermined assignment rule which was used when producing the data stream and to read the code word starting there in order to obtain the code words of the second set,

30 in case a code word of the second set is not finished at the end of a corresponding segment, to store the read section of the code word of the second set;

35 to determine the remainder of the code word or the code word not present at a raster point on the basis of a second predetermined regulation which was used when producing the data stream.

Method and Apparatus for Producing a Data stream of Code Words of Variable Lengths and Method and Apparatus for Reading a Data stream of Code Words of Variable Lengths

5

Abstract

10 In a method for producing a data stream of code words of variable lengths which are divided up into a plurality of sets of code words, wherein a raster having segments is specified for the data stream, wherein two adjacent raster points define a segment, code words of the first sets are written into the data stream starting at raster points. Subsequently, code words of the second set are written into
15 the data stream pursuant to a predetermined assignment rule, wherein each code word of the second set is assigned to a different segment. Entire code words or parts of code words which cannot be written according to their assignment, are stored and entered into the data stream in
20 further attempts, wherein the assignment by a predetermined regulation is changed from attempt to attempt. This procedure is analogously repeated for any further set which may be present. Thus, the ends of code words of the second set are decoupled from the starts of following code words
25 of the second set, since the corresponding code words of a set are written segment by segment, which results in a reduction of error propagation.

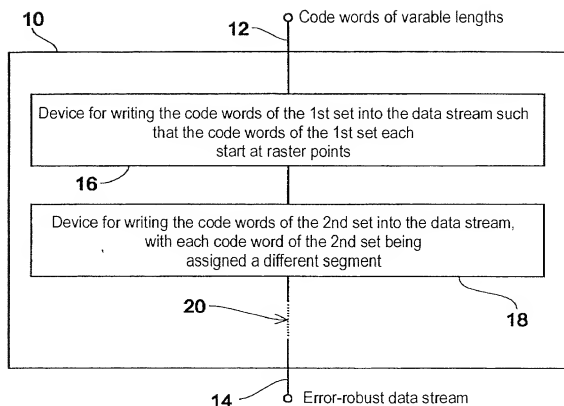


Fig. 1

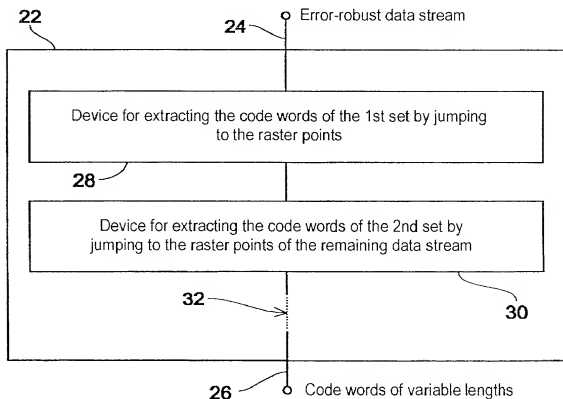
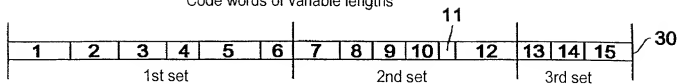
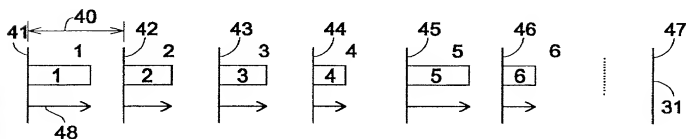


Fig. 2

Code words of variable lengths

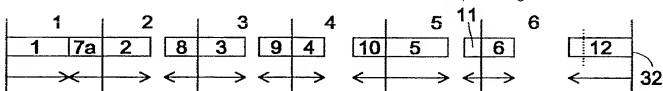


a) Writing the code words of the 1st set



b) Writing the code words of the second set

Attempt 1 (7 in 1, 8 in 2, 9 in 3, 10 in 4, 11 in 5, 12 in 6) : storing 7b



Attempt 2 (7 in 2) :

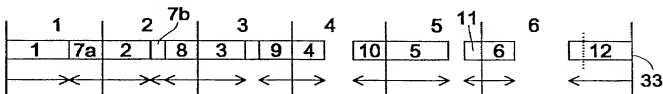
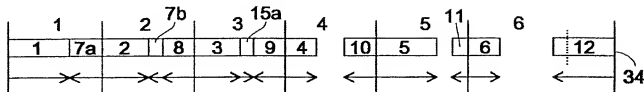


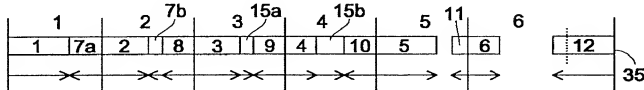
Fig. 3

c) Extracting the code words of the 3rd set

Attempt 1 (13 in 1, 14 in 2, 15 in 3) : storing 13, 14, 15b

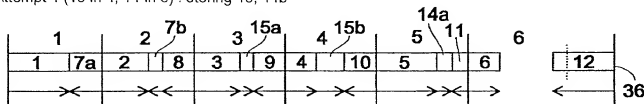


Attempt 2 (13 in 2, 14 in 3, 15 in 4) : storing 13, 14

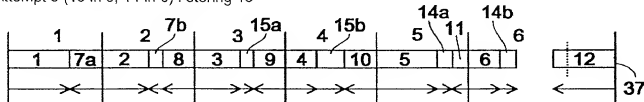


Attempt 3 (13 in 3, 14 in 4) : storing 13, 14

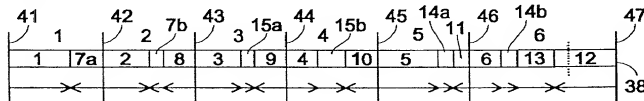
Attempt 4 (13 in 4, 14 in 5) : storing 13, 14b



Attempt 5 (13 in 5, 14 in 6) : storing 13

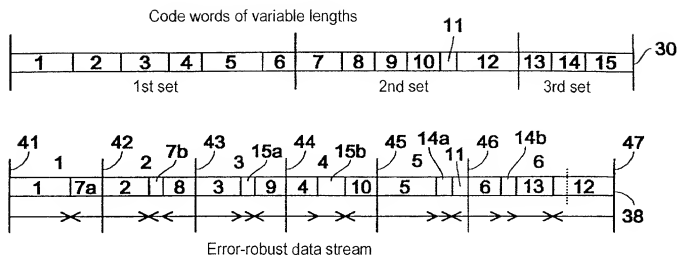


Attempt 6 (13 in 6)



Error-robust data stream

Fig. 3

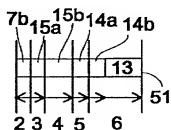


a) Extracting the code words of the first set



b) Extracting the code words of the second set

Attempt 1 (searching 7 in 1, 8 in 2, 9 in 3, 10 in 4, 11 in 5, 12 in 6)



Attempt 2 (searching 7 in 2)

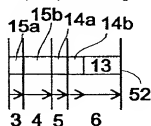
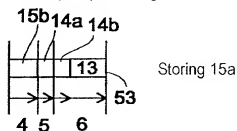


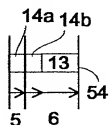
Fig. 4

c) Extracting the code words of the 3rd set

Attempt 1 (searching 13 in 1, 14 in 2, 15 in 3)

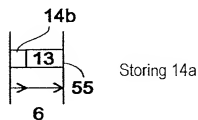


Attempt 2 (searching 13 in 2, 14 in 3, 15 in 4)



Attempt 3 (searching 13 in 3, 14 in 4)

Attempt 4 (searching 13 in 4, 14 in 5)



Attempt 5 (searching 13 in 5, 14 in 6)



Attempt 6 (searching 13 in 6)

Fig. 4

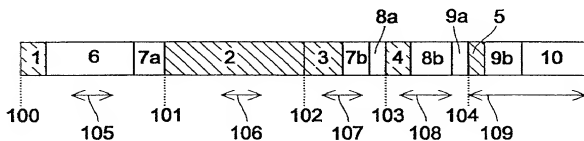
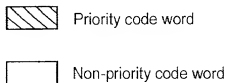


Fig. 5 (Prior art)

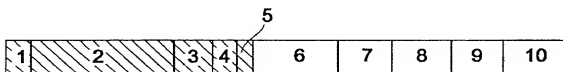


Fig. 6 (Prior art)

Declaration and Power of Attorney For Patent Application

Erklärung Für Patentanmeldungen Mit Vollmacht

German Language Declaration

DEC 14 2001

Als nachstehend benannter Erfinder oder erkläre ich hiermit an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen.

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

deren Beschreibung

(zutreffendes ankreuzen)

☐ hier beigefügt ist.

☐ am _____ unter der

Anmeldungsreihennummer _____

eingereicht wurde und am _____
abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Method and Apparatus for Producing a

Data stream of Code Words of Variable

Lengths and Method and Apparatus for

Reading a Data stream of Code Words of

Variable Lengths
the specification of which

(check one)

is attached hereto.

☒ was filed on 8/22/01 as

Application Serial No. 09/914,114

and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

German Language Declaration

Prior foreign applications

Priorität beansprucht

19907729.0

Germany

23/02/99 (February 23, 1999)

(Number)
(Nummer)

(Country)
(Land)

(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

Priority Claimed

☒ Yes
Ja

☐ No
Nein

PCT/EP00/00312

Germany

17/01/00 (January 17, 2000)

(Number)
(Nummer)

(Country)
(Land)

(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

☒ Yes
Ja

☐ No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

☐ Yes
Ja

☐ No
Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 112 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100

(Application Serial No.)
(Anmeldeseriennummer)

(Filing Date)
(Anmeldedatum)

(Status)
(patentiert, anhängig,
aufgegeben)

(Status)
(patented, pending,
abandoned)

(Application Serial No.)
(Anmeldeseriennummer)

(Filing Date)
(Anmeldedatum)

(Status)
(patentiert, anhängig,
aufgegeben)

(Status)
(patented, pending,
abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissenschaftlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden können, und dass derartig wissenschaftlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrationsnummer anführen)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Michael A. GLENN, Reg. No. 30,176

Donald M. HENDRICKS, Reg. No. 40,355

4 Kirk D. WONG, Reg. No. 43,284

Christopher PEIL, Reg. No. 45,005

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

Postanschrift:

Send Correspondence to:
GLENN PATENT GROUP
3475 Edison Way, Suite L,
Menlo Park, CA 94025
U.S.A.

Voller Name des einzigen oder ursprünglichen Erfinders:	<u>100</u>	Full name of sole or first inventor	<u>Ralph SPERSCHNEIDER</u>
Unterschrift des Erfinders	Datum	Inventor's signature	<u>Ralph Sperschneider</u> Date <u>September 3, 2001</u>
Wohnsitz		Residence	<u>Erlangen, Germany</u> <u>DEX</u>
Staatsangehörigkeit		Citizenship	<u>German</u>
Postanschrift		Post Office Address	<u>Donato-Polli-Strasse 42</u>
			<u>D-91056 Erlangen, Germany</u>
Voller Name des zweiten Mitfinders (falls zutreffend)		Full name of second joint inventor, if any	<u>Martin DIETZ</u>
Unterschrift des Erfinders	Datum	Second Inventor's signature	Date
Wohnsitz		Residence	<u>Nuernberg, Germany</u>
Staatsangehörigkeit		Citizenship	<u>German</u>
Postanschrift		Post Office Address	<u>Kleinreuther Weg 47</u>
			<u>D-90408 Nuernberg, Germany</u>

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Mitfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors.)

German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrationsnummer anführen)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Michael A. GLENN, Reg. No. 30,176

Donald M. HENDRICKS, Reg. No. 40,355

Kirk D. WONG, Reg. No. 43,284

Christopher PEIL, Reg. No. 45,005

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

Postanschrift:

Send Correspondence to:
GLENN PATENT GROUP
3475 Edison Way, Suite L,
Menlo Park, CA 94025
U.S.A.

Voller Name des einzigen oder ursprünglichen Erfinders:		Full name of sole or first inventor <u>Ralph SPERSCHNEIDER</u>	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
Wohnsitz		Residence <u>Erlangen, Germany</u>	
Staatsangehörigkeit		Citizenship <u>German</u>	
Postanschrift		Post Office Address <u>Donato-Polli-Strasse 42</u>	
		<u>D-91056 Erlangen, Germany</u>	
Voller Name des zweiten Mitfinders (falls zutreffend) <u>J.D.</u>		Full name of second joint inventor, if any <u>Martin DIETZ</u>	
Unterschrift des Erfinders	Datum	Second inventor's signature	Date
		<u>[Signature]</u>	<u>September 4, 2001</u>
Wohnsitz		Residence <u>Nuernberg, Germany</u> <u>DEX</u>	
Staatsangehörigkeit		Citizenship <u>German</u>	
Postanschrift		Post Office Address <u>Kleinreuther Weg 47</u>	
		<u>D-90408 Nuernberg, Germany</u>	

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Mitfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors.)

German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrationsnummer anführen)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Michael A. GLENN, Reg. No. 30,176

Donald M. HENDRICKS, Reg. No. 40,355

Kirk D. WONG, Reg. No. 43,284

Christopher PEIL, Reg. No. 45,005

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

Postanschrift:

Send Correspondence to:
GLENN PATENT GROUP
3475 Edison Way, Suite L,
Menlo Park, CA 94025
U.S.A.

<p>Voller Name des dritten Miterfinders, falls zutreffend</p> <p>Unterschrift des Erfinders Datum 3.00</p> <p>Wohnsitz</p> <p>Staatsangehörigkeit</p> <p>Postanschrift</p>	<p>Full name of third joint inventor, if any Pierre-LAUBER</p> <p>Third inventor's signature Date September 3, 2001</p> <p>Residence Nuernberg, Germany DEX</p> <p>Citizenship German</p> <p>Post Office Address Rilkestrasse 30 D-90419 Nuernberg, Germany</p>
<p>Voller Name des vierten Miterfinders, falls zutreffend</p> <p>Unterschrift des Erfinders Datum</p> <p>Wohnsitz</p> <p>Staatsangehörigkeit</p> <p>Postanschrift</p>	<p>Full name of fourth joint inventor, if any Michael SCHUG</p> <p>Fourth inventor's signature Date</p> <p>Residence Erlangen, Germany</p> <p>Citizenship German</p> <p>Post Office Address Taunusstrasse 63 D-91056 Erlangen, Germany</p>

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors.)

German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrierungsnummer angeben)



POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Michael A. GLENN, Reg. No. 30,176

Donald M. HENDRICKS, Reg. No. 40,355

Kirk D. WONG, Reg. No. 43,284

Christopher PEIL, Reg. No. 45,005

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

Postanschrift:

Send Correspondence to:

GLENN PATENT GROUP

3475 Edison Way, Suite L,

Menlo Park, CA 94025

U.S.A.

Voller Name des dritten Miterfinders, falls zutreffend	Full name of third joint inventor, if any	Pierre LAUBER
Unterschrift des Erfinders	Datum	Third inventor's signature
Wohnsitz	Residence	Nuernberg, Germany
Staatsangehörigkeit	Citizenship	German
Postanschrift	Post Office Address	Rilkestrasse 30
		D-90419 Nuernberg, Germany
Voller Name des vierten Miterfinders, falls zutreffend	Full name of fourth joint inventor, if any	Michael SCHUG
Unterschrift des Erfinders	Datum	Fourth inventor's signature
Wohnsitz	Residence	Erlangen, Germany
Staatsangehörigkeit	Citizenship	German
Postanschrift	Post Office Address	Taunusstrasse 63
		D-91056 Erlangen, Germany

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors.)